

# Probing phase transitions in the early universe with gravitational waves

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# A brief career

- Home town: Cambridge, UK
  - Early interest in the universe...
- Physics at Oxford
- PhD at Imperial College (with Tom Kibble)
  - Turned into interest in the early universe
- Postdocs at Los Alamos, Newcastle, Cambridge
- 5-year research fellowship at Sussex -> lectureship 1998
- 2012 sabbatical at University of Helsinki -> visiting professor
- Since 2018: 80% at Helsinki, 20% Sussex
- Lots of good fortune on the way ...





# Phase transitions in the early Universe

- At very high temperatures and pressures, the state of matter in the Universe changes
  - T<sub>c</sub> ~ 100 MeV (1 ms) QCD
  - $T_{c} \simeq 100 \; GeV$  (10 ps) Electroweak
  - T<sub>c</sub> >> 100 GeV new symmetries, interactions?
- Departures from equilibrium and homogeneity (-> shear stress)
  - First order phase transition: relativistic condensation or `fizz' Steinhardt (1982)
  - First or second order: formation of topological defects
     Kibble (1976)
  - First order: baryon asymmetry
     Sakharov 68; Kuzmin, Rubakov, Shaposhnikov (1985)
- First order phase transitions can produce GWs Witten (1984), Hogan (1986)





# Electroweak transition: 100 GeV, 10 ps

- Perturbative: weakly first order transition Kirzhnitz, Linde (1972,4)
- But: SM is not weakly coupled at high T Linde (1980)
- Non-perturbative techniques:
  - Dimensional reduction to 3D effective field theory + 3D lattice
     Kajantie, Laine, Rummukainen, Shaposhnikov (1995,6)
  - SU(2)-Higgs on 4D lattice Czikor, Fodor, Heitger (1998)
- SM transition at m<sub>h</sub> ≈ 125 GeV is a cross-over
   a supercritical fluid





#### Temperature

• Search for 1<sup>st</sup> order transition is a search for physics beyond SM

# Little bangs in the Big Bang

- 1st order transition by nucleation of bubbles of low-*T* phase Langer 1969, Coleman 1974, Linde 1983
- Nucleation rate/volume p(t) rapidly increases below  $T_c$
- Expanding bubbles generate pressure waves in hot fluid
- Universal "fizz"
- Gravitational wave production
- Spectrum has information about phase transition



Steinhardt (1982); Hogan (1983,86); Gyulassy et al (1984); Witten (1984)

Gravitational waves ... Mark Hindmarsh

Fluid kinetic energy



MH, Huber, Rummukainen, Weir (2013,5,7) Cutting, MH, Weir (2018,9)

## Gravitational wave spectrum



NASA

# Laser Interferometer Space Antenna

- Launch mid 2030s
- 4-year mission (up to 10 years)
- 2.5M km arms
- Science objectives:
  - White dwarves
  - Black holes
  - Galaxy mergers
  - Extreme gravity
  - TeV-scale early Universe
- Other missions: DECIGO, Taiji, Tianqin



## Phases of a phase transition



- 1. Bubble nucleation and expansion
- 2. Collision

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- 3. Acoustic
- 4. Non-linear (shocks, turbulence)

 $\tau_{\rm nl} \sim L_f/\bar{U}_f$ 

 $L_{\rm f}$  – fluid flow length scale  $U_{\rm f}$  – RMS fluid velocity



3 4 'exponential' nucleation rate/volume p(t)  $p(t) = p_n e^{\beta(t-t_n)}$  $au_{\rm co} = \beta^{-1}$ 

 $\beta$  – transition rate parameter  $\beta$  > *H* for successful transition

Guth, Weinberg 1983; Enqvist et al 1992; Turner, Weinberg, Widrow 1992;

Review: MH, Lüben, Lumma, Pauly 2021

# GWs from an early universe phase transition

Assume rapid transtion,  $\beta >> H$ , neglect expansion of universe

- Ingredients for theory: Ignatius et al (1994), Kurki-Suonio, Laine (1996)
  - Higgs field  $-\ddot{\phi} + \nabla^2 \phi \frac{\partial V}{\partial \phi} = \eta W (\dot{\phi} + V^i \partial_i \phi)$   $V(T, \phi)$  equation of state

    - $\eta(T, \phi, W)$  field-fluid coupling (models friction)
  - Relativistic fluid

$$\dot{E} + \partial_i (EV^i) + P[\dot{W} + \partial_i (WV^i)] - \frac{\partial V}{\partial \phi} W(\dot{\phi} + V^i \partial_i \phi) = \eta W^2 (\dot{\phi} + V^i \partial_i \phi)^2$$
$$\dot{Z}_i + \partial_j (Z_i V^j) + \partial_i P + \frac{\partial V}{\partial \phi} \partial_i \phi = -\eta W (\dot{\phi} + V^j \partial_j \phi) \partial_i \phi.$$

- *E* energy density,  $Z_i$  momentum density,  $V_i$  velocity, *W*  $\gamma$ -factor
- Discretisation

Wilson & Matthews (2003)

Different approach: Brandenburg, Engvist, Olesen (1996); Giblin, Mertens (2013)

 $V_T(\phi)$ 

 $V_T(\phi_m)$ 

 $V_{T}(0)$ 

 $T < T_c$ 

 $\pmb{\phi}_{\mathsf{b}}$ 

 $\phi$ 

 $\phi_{\rm m}$ 

- Metric perturbation (GW strain)

 $\ddot{u}_{ij} - 
abla^2 u_{ij} = 16\pi G T_{ij}$   $\tilde{h}_{ij}(\mathbf{k}) = \Lambda_{ij,kl}^{TT} u_{kl}(\mathbf{k})$  Garcia-Bellido, Figueroa, Sastre (2008)

# Connection to fundamental theory

- Scalar hydrodynamics  $-\ddot{\phi} + \nabla^2 \phi \frac{\partial V}{\partial \phi} = \eta W (\dot{\phi} + V^i \partial_i \phi)$
- Scalar effective potential  $V(\phi, T)$  —
- Scalar-fluid coupling  $\eta(\phi, T, \gamma)$  ——

**Phase transition parameters :** 

 $T_n$  = nucleation temperature  $g_{eff}$  = effective d.o.f. in plasma  $\alpha \sim$  (latent heat)/(thermal energy)  $c_s$  = sound speed(s)  $\beta$  = transition rate

 $v_w$  = bubble wall speed

Simulations, Modelling

 $H_n(T_n, g_{eff})$  (Hubble rate)

 $K(v_w, \alpha, c_s)$  (kinetic energy fraction)

equilibrium, quasi-eqm. ( $T_{n}$ ,  $\alpha$ ,  $\beta$ ,  $c_{s}$ ,  $g_{eff}$ )

non-equilibrium  $(v_w)$ 

 $R_*(\beta, v_w)$  (mean bubble separation)

**GW parameters** :  $\Omega_p$  = peak amplitude  $f_p$  = peak frequency  $\sigma_i$  = shape parameters

# Phase transitions at weak coupling

- Phase transition in weakly coupled gauge theories: (Kirzhnits 1972, Kirzhnits & Linde 1972)
- Free energy density of plasma depends on
  - Temperature T
  - Particle masses m<sub>i</sub>()
- High *T*: reduce free energy by forcing Higgs  $\phi$  to zero
- Electroweak transition:  $T_c \approx v_{EW} \approx 100 \text{ GeV} (10^{15} \text{ K})$
- High *T* (>> m<sub>i</sub>(φ)):

$$V_T(\phi) = \frac{1}{2}A(T^2 - T_0^2)\phi^2 - \frac{1}{3}ET\phi^3 + \frac{1}{4}\phi^4$$

• Non-abelian gauge theories (T > 0): simple methods failLinde 1980

Potential barrier from cubic term in perturbative high-T expansion. First order transition?

• Much recent work on  $V(\phi, T)$  in Standard Model + extra Higgs

Anderson et al 2018; Gorda et al 2019; Niemi et al 2019, 2021; Kainulainen et al 2019; Niemi, Schicho, Tenkanen 2021



#### Phase transitions at strong coupling and holography

 Holography: a (4 + n)D gravity theory defines a quantum field theory in 4D Witten 1998, Maldacena 1998



## Holographic effective action for transition rates

Ares, Henriksson, MH, Hoyos, Jokela 2022

Bottom-up model

$$S_{\text{non-reg}} = \frac{2}{\kappa_5^2} \int \mathsf{d}^5 x \sqrt{-g} \left( \frac{\mathcal{R}}{4} - \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) \right) + \frac{1}{\kappa_5^2} \int_{\partial \mathcal{M}} \mathsf{d}^4 x \sqrt{-\gamma} d^4 x \sqrt{-\gamma} d^4$$

- From the holographic generating functional W[J]
  - Effective potential:  $V(\psi) = \int J d\psi$  Hertog, Horowitz 2002
- From low-momentum expansion of 2-point function  $\langle \psi \psi \rangle = \delta \psi / \delta J$  Son, Starinets 2002 - Kinetic term:  $Z(\psi) (\nabla \psi)^2$
- Allows standard computation of transition rate eta
- Avoids need to find solutions in 5D theory
- Future: wall speed
- Application: strongly-coupled Standard Model extensions



# Hydrodynamic simulations of phase transitions

- 2015: 1M hrs CSC, Finland
- 2015/6: 17M CPU-hours Tier-0 PRACE
- 4200<sup>3</sup> lattice on 24k cores
- Output: GW power spectrum (fraction GW energy density per log wavenumber)

 $\frac{d\Omega_{\rm gw}}{d\ln k} = \frac{1}{\rho_{\rm c}} \frac{d\rho_{\rm gw}}{d\ln k} = \frac{1}{12H^2} \frac{k^3}{2\pi^2} P_{\dot{h}}(k)$ 

• *P* - Plane wave spectral density

 $\langle \dot{\tilde{h}}_{ij}(\mathbf{k})\dot{\tilde{h}}_{ij}(\mathbf{k'})\rangle = P_{\dot{h}}(k)(2\pi)^3\delta(\mathbf{k}-\mathbf{k'})$ 



Transition strength:  $\alpha = 0.0046$ Wall speed:  $v_w = 0.44$ 

Mean bubble spacing  $R_* = 2000/T_c$ 



#### Generic features:

- "Domed" peak at *kR*<sup>\*</sup> ~ 10
- Approx *k*<sup>-3</sup> spectrum at high *k*

Hindmarsh, Huber, Rummukainen, Weir 2017

# Towards a model: relativistic combustion



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## GWs from first order phase transitions: parameters

- Parameters of transition:
  - $-T_n$  = Temperature at nucleation
  - $-\beta$  = transition rate ( = d log p / dt)
  - $v_w$  = Bubble wall speed
  - $\alpha$  = (Potential energy release)/(Heat energy)
  - $-c_s$  = sound speed
- Derived parameters:
  - $r_* = (bubble centre spacing R_*)/Hubble length$
  - K = fluid kinetic energy fraction

Steinhardt '84 Espinosa et al 2010

Giese et al 2020

- Fluid kinetic energy makes GWs
  - Energy release via self-similar solutions



# GWs from phase transitions: Sound shell model

- Gaussian velocity field from weighted addition of self-similar sound "shells"  $v_q(t_i)$ MH 2017, MH, Hijazi 2019
- Two length scales:
  - Bubble spacing R<sub>\*</sub>
  - Shell width  $R_* |v_w c_s| / c_s$
- Double broken power law
  - $P_{gw} \sim k^9, k^1, k^{-3}$
- Amplitude proportional to:
  - Bubble spacing
  - Shear stress lifetime
  - (Kinetic energy)<sup>2</sup>
- Similar: bulk flow model (real space)

Jinno, Konstandin, Rubira 2020





#### Sound shell model vs. simulations $P_{qw}$

- Solid: ideal self-similar sound shell
- Dash: evolving sound shell at peak collision time in 1+1D scalar hydro
- Simulations: simultaneous nucleation of bubbles



MH et al in prep



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#### Nonlinearities 1: Kinetic energy & GW suppression

0.3000

0.2500

-0.2000

-0.1500

 $\begin{array}{l} T^{\rm Max} = 0.341 \, T_c \\ T^{\rm Min} = 0.170 \, T_c \end{array}$ 

 $tT_{c} = 1110$ 









- **Deflagrations**: heat up fluid in front
- Pressure in front of wall increases, walls slow down
- Formation of hot droplets
- Less transfer into kinetic energy, more into heat.
- Include GW suppression factor as a numerical parameter (right)
- Also: nucleation suppression, boosts signal

Al-Ajmi, MH (in prep)



#### Nonlinearities 2: Vorticity and turbulence







- Deflagrations
- Interaction between bubbles/shells generates vorticity
- Vorticity significant for slow walls in strong transitions
- Generation by later shock collision? Pen, Turok 2016

 $\omega/T_{c}$ 

- Small in 2D sims
- Larger, longer simulations needed Auclair et al 2022



Weir, 2020

MΗ

Cutting,

#### Nonlinearities 3: Shocks and kinetic energy decay





- Shocks develop from any sound wave
- Energy spectrum:  $k^{-2}$  at high k (any dimension)
- D=2 modelling can be applied to D=3
- KE decay, length scale growth: power laws
- GW spectrum: Intermediate slope change: k<sup>9</sup> to k<sup>5.5</sup>



#### GW power spectra in the SSM

• Sound shell model predictions, acceptable accuracy for

- near-linear flows ( $\alpha \le 0.3$ ); fast walls:  $v_w > 0.4$ ; sub-Hubble bubble separations ( $r_* << 1$ )



# Foregrounds in stochastic signal



After detected objects (e.g. massive black hole binaries) are removed from signal:

- Unresolved white dwarf binaries in our galaxy (~ 20 million)
- Unresolved extra-galactic compact binaries
  - Mostly stellar origin black hole binaries ("LIGO-type")
- What can we hope to see?

## Signal-to-noise ratios (LISA)



- Signal-to-noise ratio  $\rho$  (t<sub>obs</sub> = 4 years)
- "Worst case" galactic binary foreground
  - (NB annual variation aids removal)
- "LISA science requirements" instrument noise

 $\rho^{2}(\vec{\theta}) = t_{\rm obs} \int df \left(\frac{\Omega_{\rm gw}(f;\vec{\theta})}{\Omega_{\rm noise}(f)}\right)^{2}$ 

## Signal-to-noise ratios (LISA)



- Signal-to-noise ratio  $\rho$  ( $t_{obs}$  = 4 years)
- Perfect removal of GB foreground
- "LISA science requirements" instrument noise

$$\rho^{2}(\vec{\theta}) = t_{\rm obs} \int df \left(\frac{\Omega_{\rm gw}(f;\vec{\theta})}{\Omega_{\rm noise}(f)}\right)^{2}$$

## Observability of PT parameters: Fisher analysis



f [Hz]

# A theory skeleton in the cupboard

- Is nucleation theory correct?
  - <sup>3</sup>He A/B transition rate puzzle

Kaul Kleinert 1980, Bailin, Love 1980, Leggett 1984, Tye Wohns 2011

Cahn/Hilliard, Langer theory of nucleation rate:

$$\frac{\Gamma}{\mathcal{V}} = \frac{\sqrt{V_T''(0)}}{\pi} \left[ \frac{\det(-\vec{\nabla}^2 + V_T''(0))}{|\det'(-\vec{\nabla}^2 + V_T''(\bar{\phi}))|} \right]^{1/2} \left( \frac{\beta E_{\rm c}}{2\pi} \right)^{3/2} e^{-\beta E_{\rm c}} \\
E_{\rm c} - \text{energy of critical droplet/bubble}$$

- <sup>3</sup>He A/B theory prediction:  $\beta E_c \simeq 10^6$
- Lab: metastable <sup>3</sup>He A lasts hours/days.
- QUEST-DMC (Sussex, Royal Holloway UL, Lancaster) aims to resolve the puzzle





# Future challenges: hydrodynamics

- Realistic equations of state
  - Sound speed is important
- Non-linear evolution of fluid
  - Longitudinal/compression modes
    - Kinetic energy suppression
    - Shocks, wave turbulence
  - Transverse/rotational modes
    - Vorticity generation
    - Turbulence
    - Turbulence less efficient at producing GWs? Roper Pot et al 2019
    - New! GWs from freely decaying turbulence in relativistic fluid



Vorticity, strong transition Cutting, MH, Weir 2019



Dahl, MH, Rummukainen, Weir (2022)



# Future challenges: theory

- Scalar effective potential  $V(\phi, T)$ 
  - Non-perturbative methods:
    - Dimensional reduction Gould et al 2019, Croon et al 2020, Gould, Tenkanen, Lee 2021, Niemi et al 2020
    - Functional renormalization group
  - Strongly interacting fields
    - Lattice + Polyakov
    - Holography
- Scalar-fluid coupling  $\eta(\phi, T, v)$  & wall speed
  - Perturbative estimates for SM and MSSM
  - Holography Attems et al 2017, Bigazzi et al 2021, Janik et al 2021, Bea et al 2021
- Connection to phenomenology (e.g.  $\lambda_{hhh}$ ) Caprini et al 2019; ...., Kozaczuk et al 2015;
- Probing hidden sectors Schwaller 2015; Jaeckel, Khoze, Spannowsky 2016; Addazi et al 2017, 2018; Baldes 2017; Croon, Sanz, White 2018, ...

Huang et al 2020, Reichert et al 2021

Ares et al 2020, 2021

Einhorn et al 2020,

Moore, Prokopec 1994 John, Schmidt 2000 Laurent & Cline 2020

Ellis, Lewicki, No 2018; Fairbain et al 2019 ... ...

# Conclusions

- LISA and other missions will probe physics of Higgs transition from mid-2030s
  - Measure/constrain phase transition parameters
    - Wall speed likely to be best determined
  - Parameters from underlying particle physics models
    - Wall speed the hardest (non-equilibrium)
- Towards accurate calculations of GW power spectrum from parameters
  - Non-linear evolution (turbulence, shocks) not well understood yet
- Ambition: make GWs as good a probe of the electroweak era as CMB is for the decoupling era



