

# Quantum Tunneling in the Early Universe: Inflation and the Hubble Tension

Martin W. Winkler

in collaboration with K. Freese

based on Phys. Rev. D103 (2021) and arXiv:2102.13655



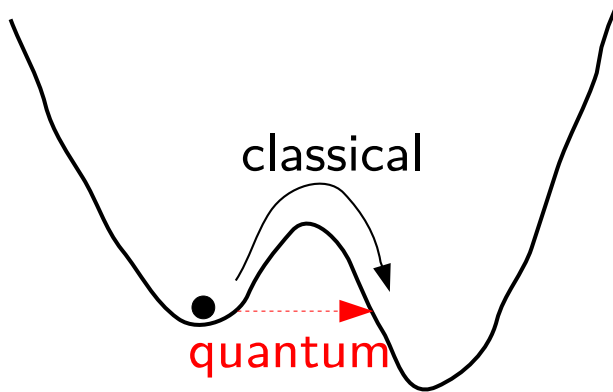
*Theorie Palaver  
Mainz  
June 29, 2021*



- Quantum Tunneling
- Old and New Inflation
- Chain Inflation
- Hubble Tension
- Chain Early Dark Energy

# Quantum Tunneling

Voloshin, Kobzarev, Okun 1974, Coleman 1977

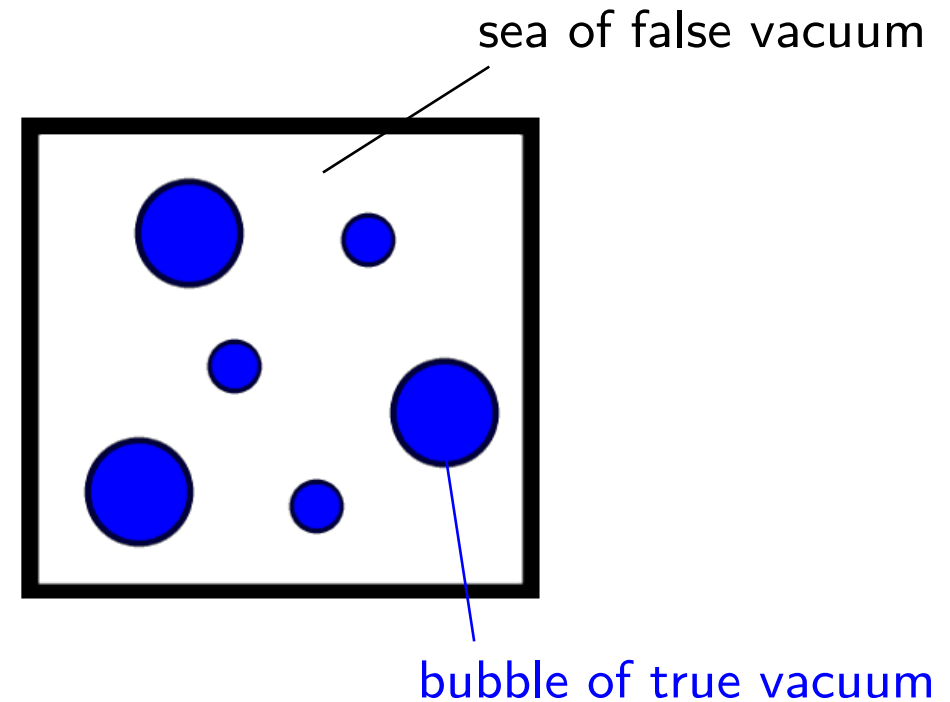


probability of vacuum decay per  
volume and time:  $\Gamma = Ae^{-S/\hbar}$   
“tunneling rate”

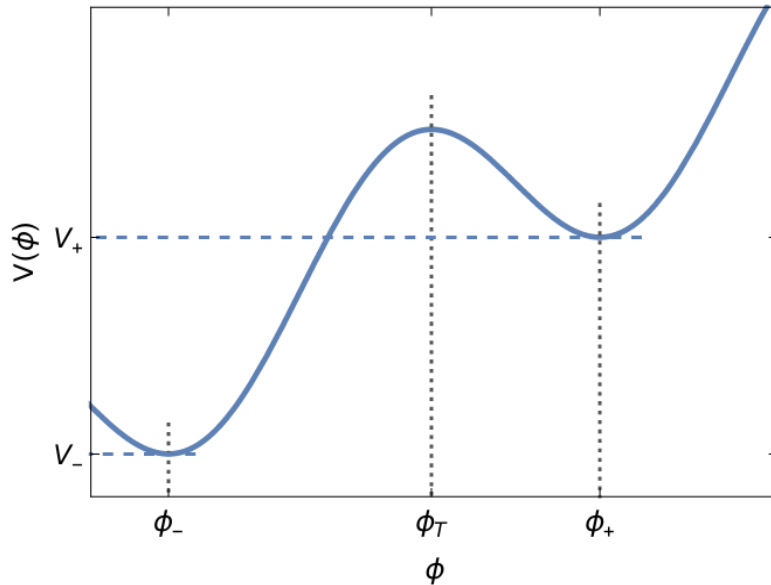
$S$ : action of the bounce  
(path of least resistance)

$$\frac{d^2\phi}{d\rho^2} + \frac{3}{\rho} \frac{d\phi}{d\rho} = V'(\phi)$$

$$S \propto \int_0^\infty d\rho \rho^3 \left[ \frac{1}{2} \left( \frac{d\phi}{d\rho} \right)^2 + V \right]$$



# Bounce Action



example potential:

$$V = \mu^3 \phi + \Lambda^4 \cos \frac{\phi}{f}$$

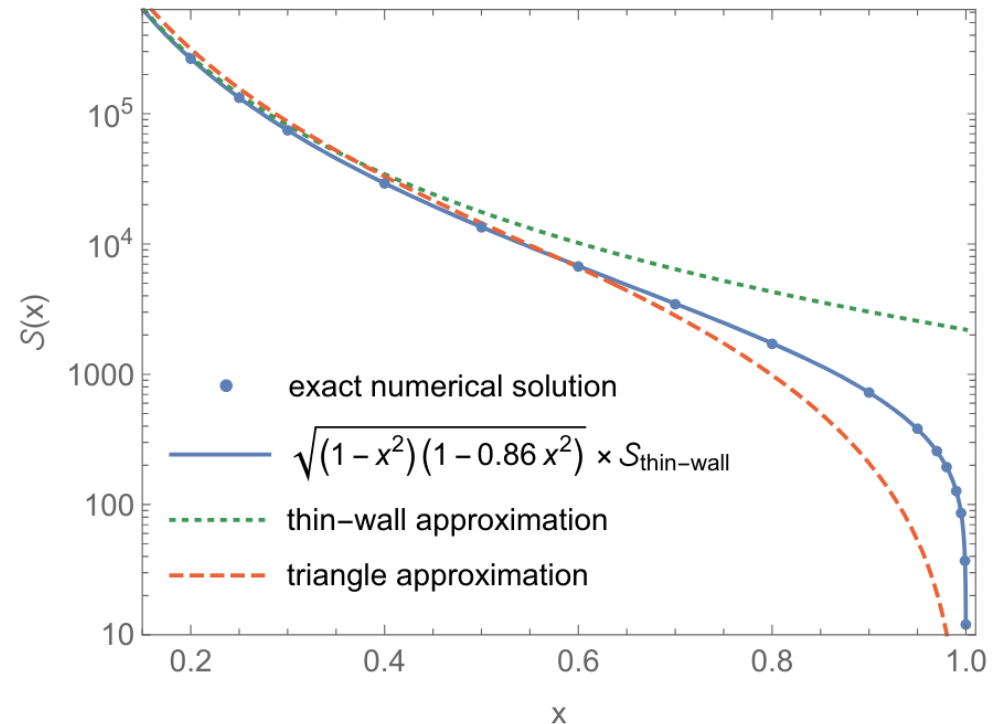
we define:  $x = \frac{f\mu^3}{\Lambda^4}$

thin-wall approximation:

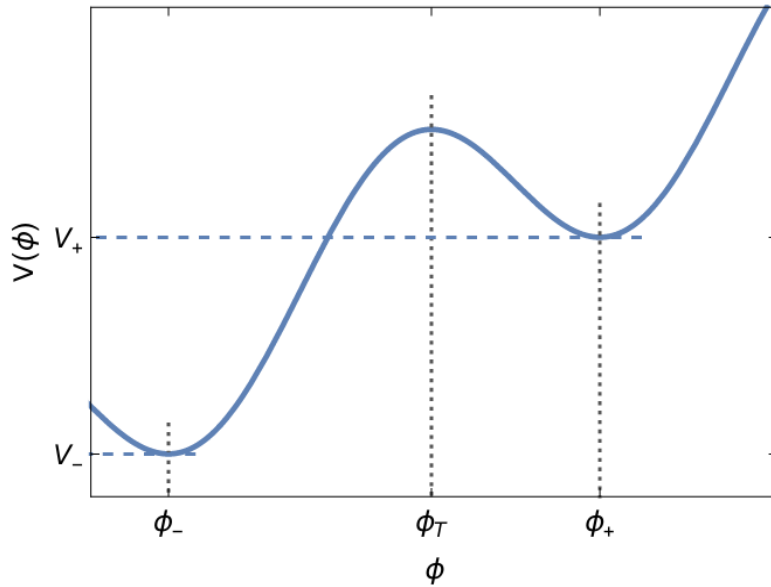
$$S_{\text{thin-wall}} = \frac{4}{\pi} \frac{f^4}{\Lambda^4} \left( \frac{12}{x} \right)^3$$

improved approximation:

$$S_{\text{improved}} = S_{\text{thin-wall}} \times \sqrt{(1-x^2)(1-0.86x^2)}$$



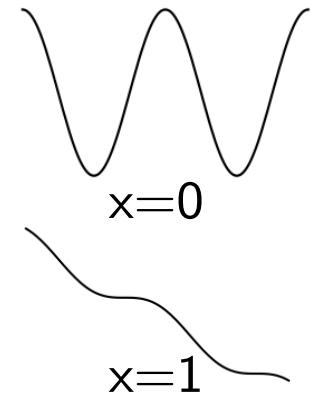
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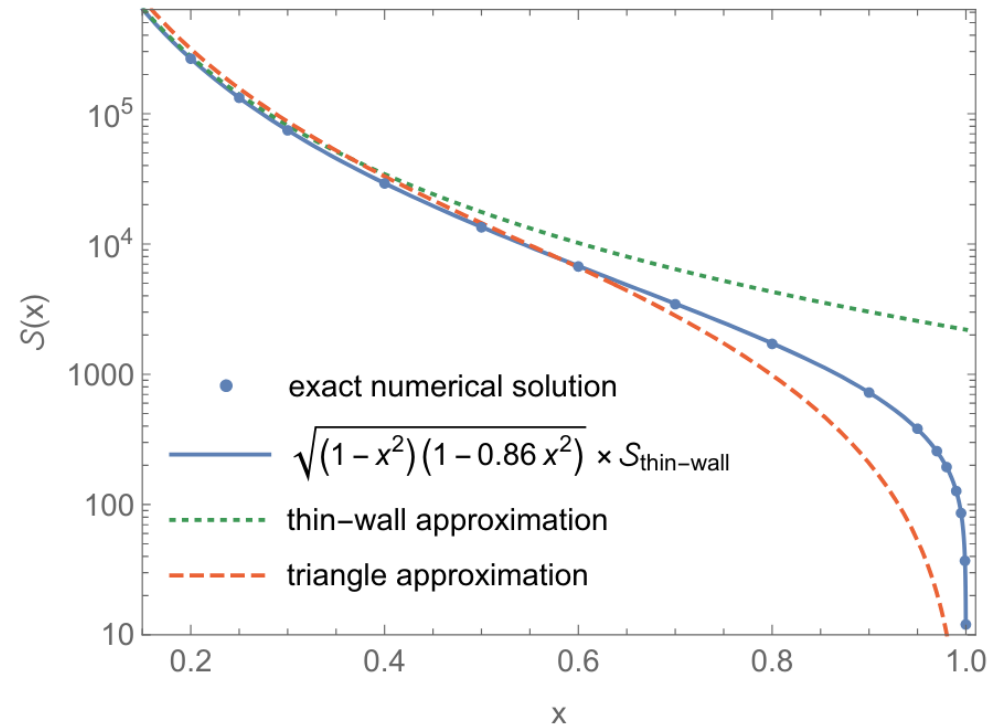


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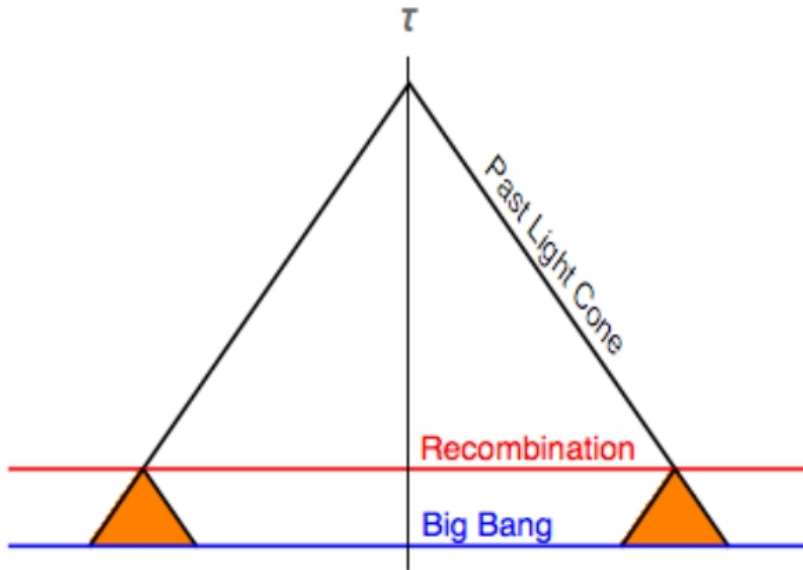
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# Inflation

- rapid expansion of space

Guth 1981

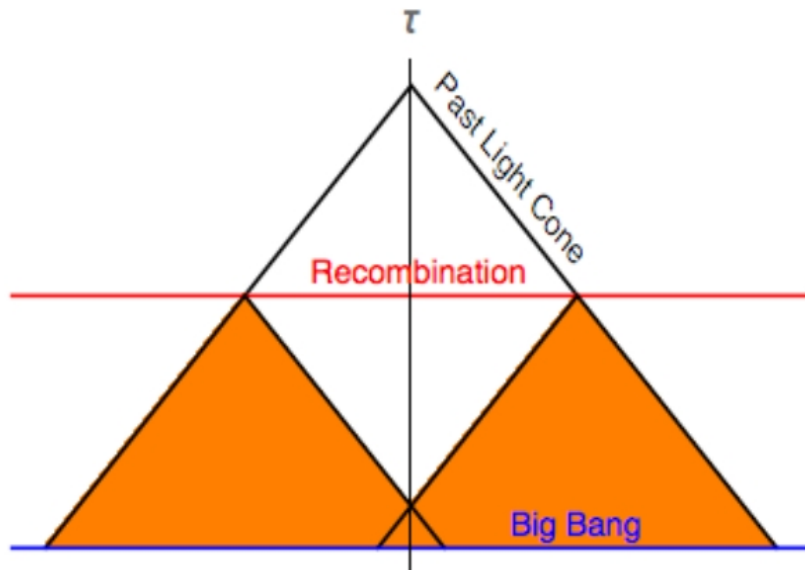


- solves horizon problem
- solves flatness problem
- dilutes dangerous relics

# Inflation

- rapid expansion of space

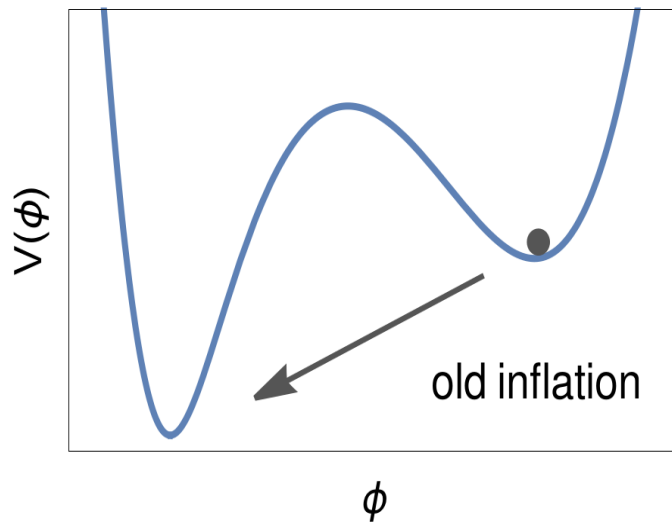
Guth 1981



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- dilutes dangerous relics

# Old Inflation

- inflation driven by potential energy of the inflaton field
- inflation models

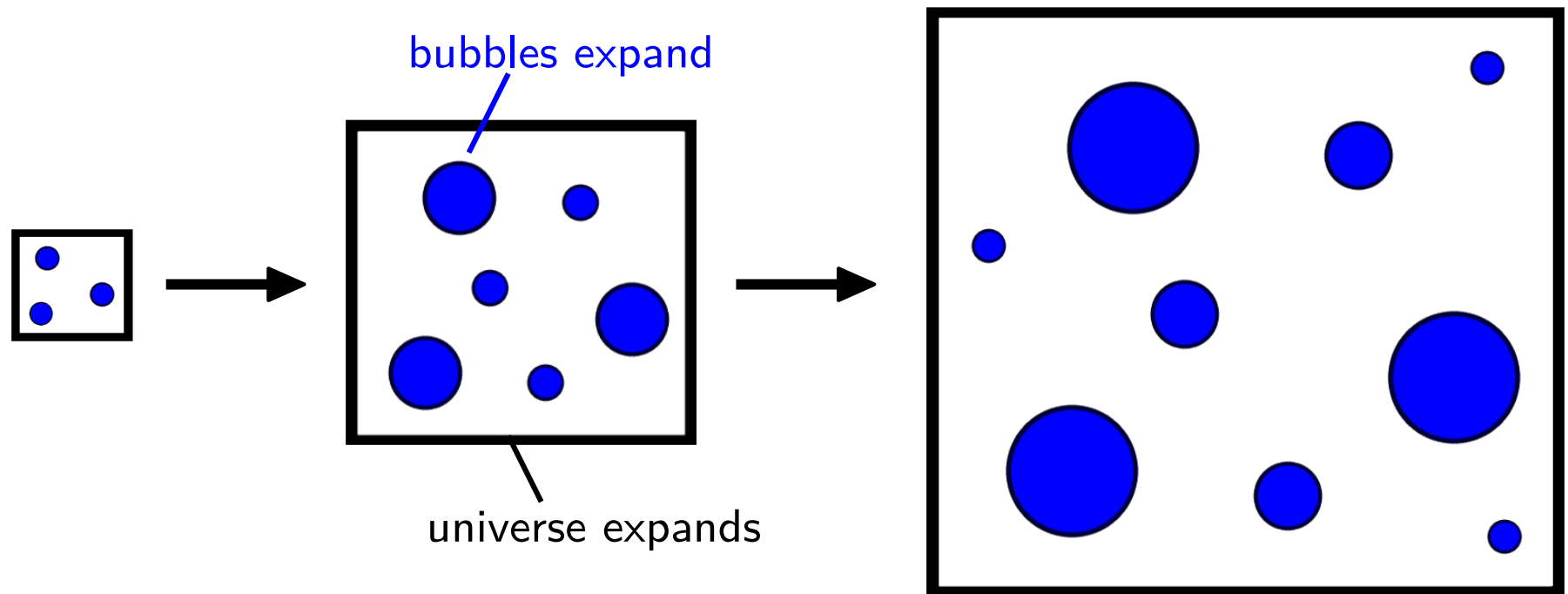


Guth 1981



# The Empty Universe Problem

- bubble formation rate = tunneling rate must be low enough to get 60 e-folds of inflation



**bubbles don't percolate, no reheating, no particles**

- volume of a single bubble created at time  $t_0$

$$V_b \simeq \frac{4\pi}{9} e^{H(t-t_0)} \quad (\text{for } t \gg t_0)$$

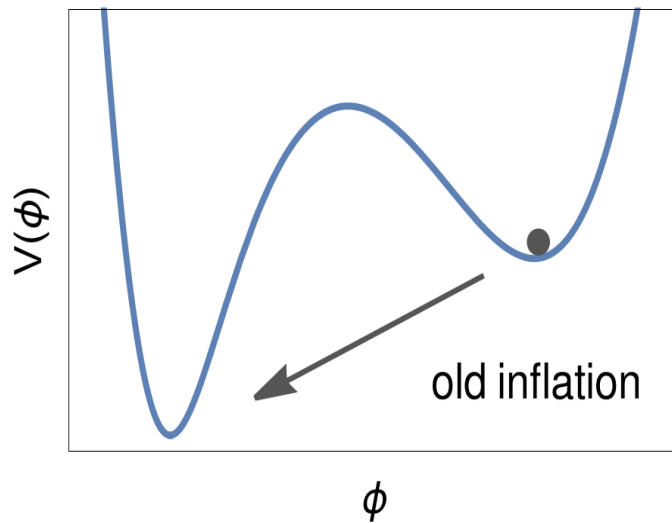
- volume of all bubbles vs. volume of the universe

$$\frac{\sum V_b}{V_{\text{tot}}} = \frac{4\pi}{9} \frac{\Gamma}{H^4}$$

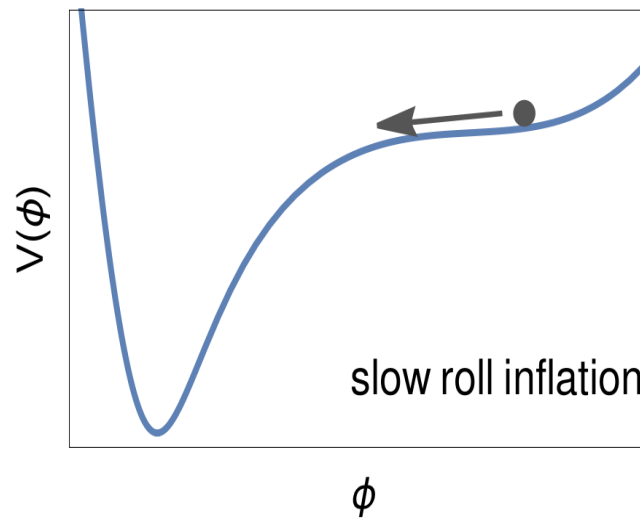
- bubble percolation hence requires  $\Gamma/H^4 \gtrsim 1$
- inflation can only last for  $\sim 1$  e-fold, **but need 60 e-folds**

# Slow Roll Inflation

- inflation driven by potential energy of the inflaton field
- inflation models



Guth 1981

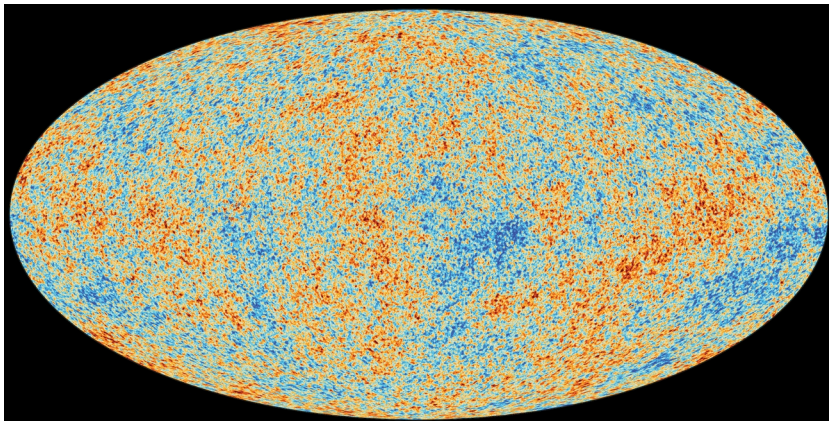
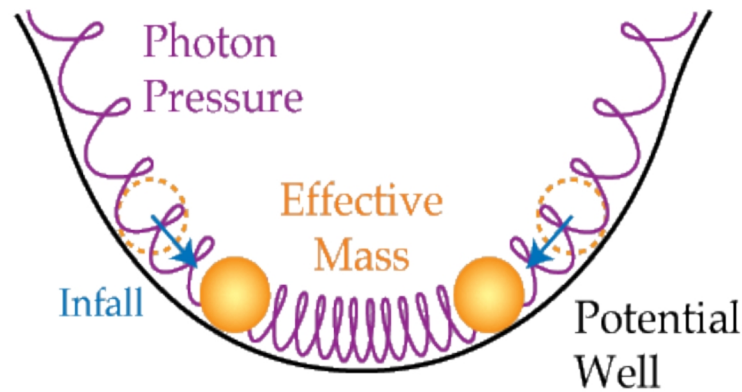


Linde 1982

Albrecht, Steinhardt 1982

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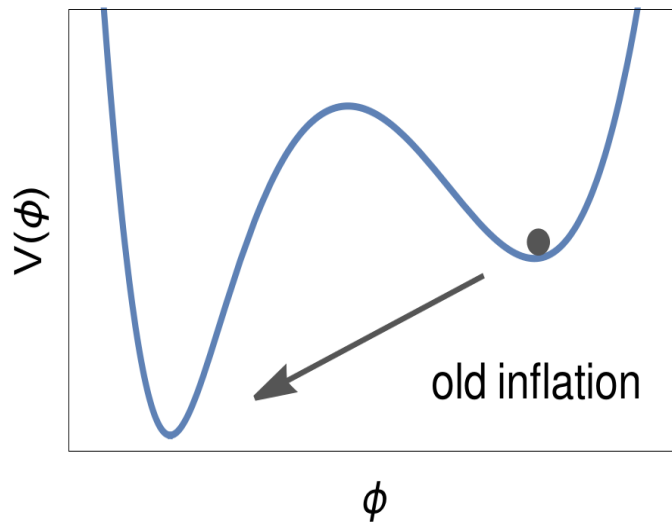
- quantum fluctuations of the inflaton are stretched, reheating converts them into density fluctuations



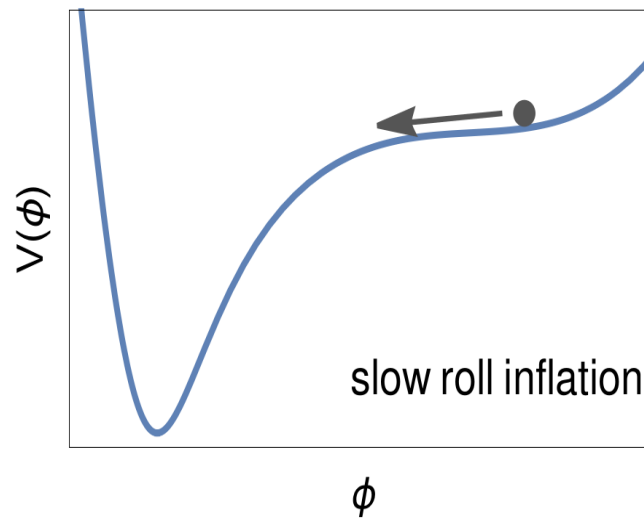
- create potential wells for baryon photon plasma
- acoustic oscillations due to radiation pressure
- CMB provides snapshot at last scattering

# Chain Inflation

- inflation driven by potential energy of the inflaton field
- inflation models

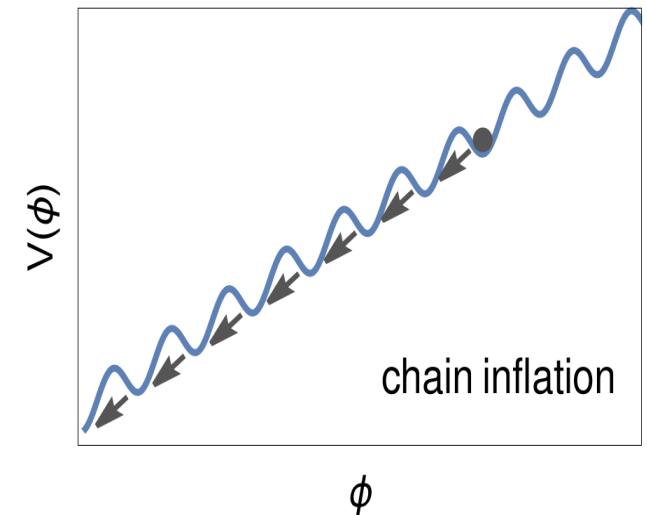


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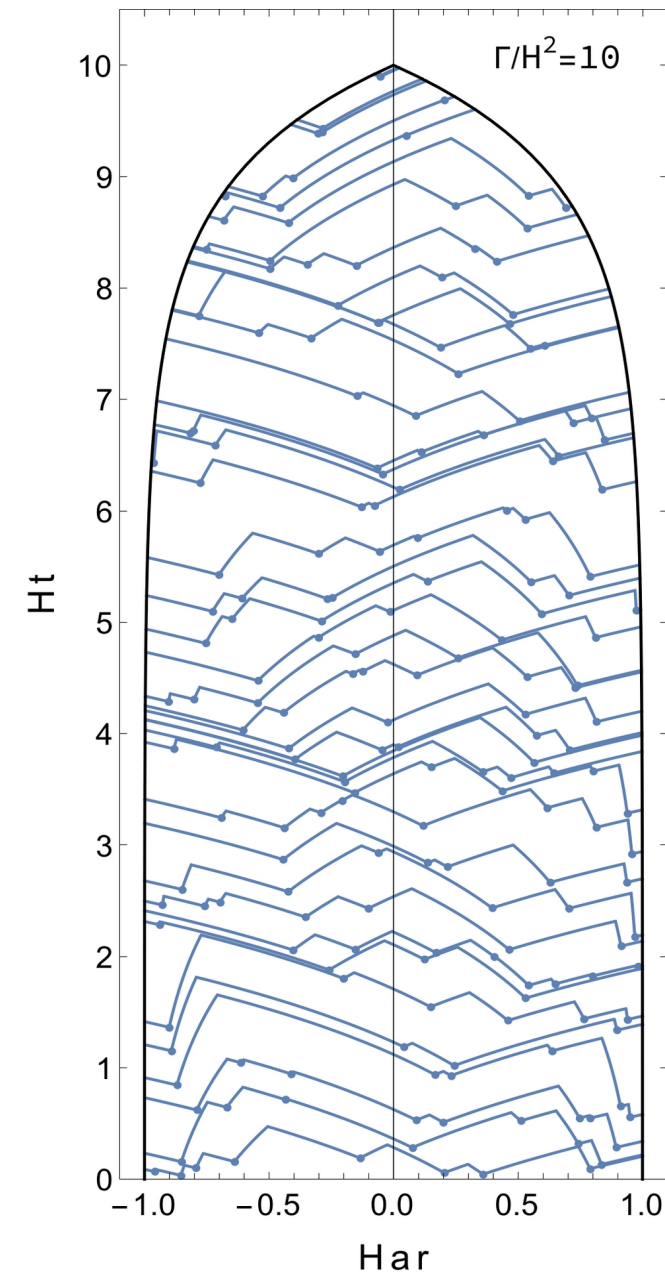
Linde 1982

Albrecht, Steinhardt 1982



Freese, Spolyar 2005

# Chain Inflation



- inflaton tunnels along a series of false vacua of ever lower energy
- large  $\Gamma$ , bubbles are formed close to each other and percolate quickly
- bubble collisions create radiation which is quickly redshifted away
- what about the CMB?

# CMB Anisotropies in Chain Inflation

origin of fluctuations:

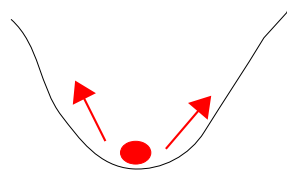
- quantum fluctuations of the inflaton

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origin of fluctuations:

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suppressed by  
inflaton mass



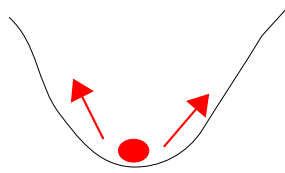


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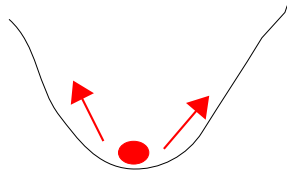
- bubble wall collisions

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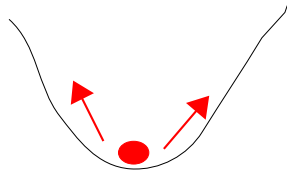
- (bubble wall collisions)  
maybe, but complicated

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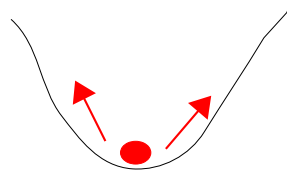
- probabilistic nature of tunneling

# CMB Anisotropies in Chain Inflation

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- probabilistic nature of tunneling

## scalar power spectrum:

$$\Delta_{\mathcal{R}}^2 = \frac{H^2}{4\pi^2 c_s \epsilon} (-k c_s \eta)^{-2\epsilon}$$

$$\Delta_{\mathcal{R}}^2 = (0.04 \pm 0.02) \left( \frac{\Gamma}{H^4} \right)^{-0.42 \pm 0.03}$$

$$\Delta_{\mathcal{R}}^2 = \frac{H^2}{8\pi^2 \epsilon}$$

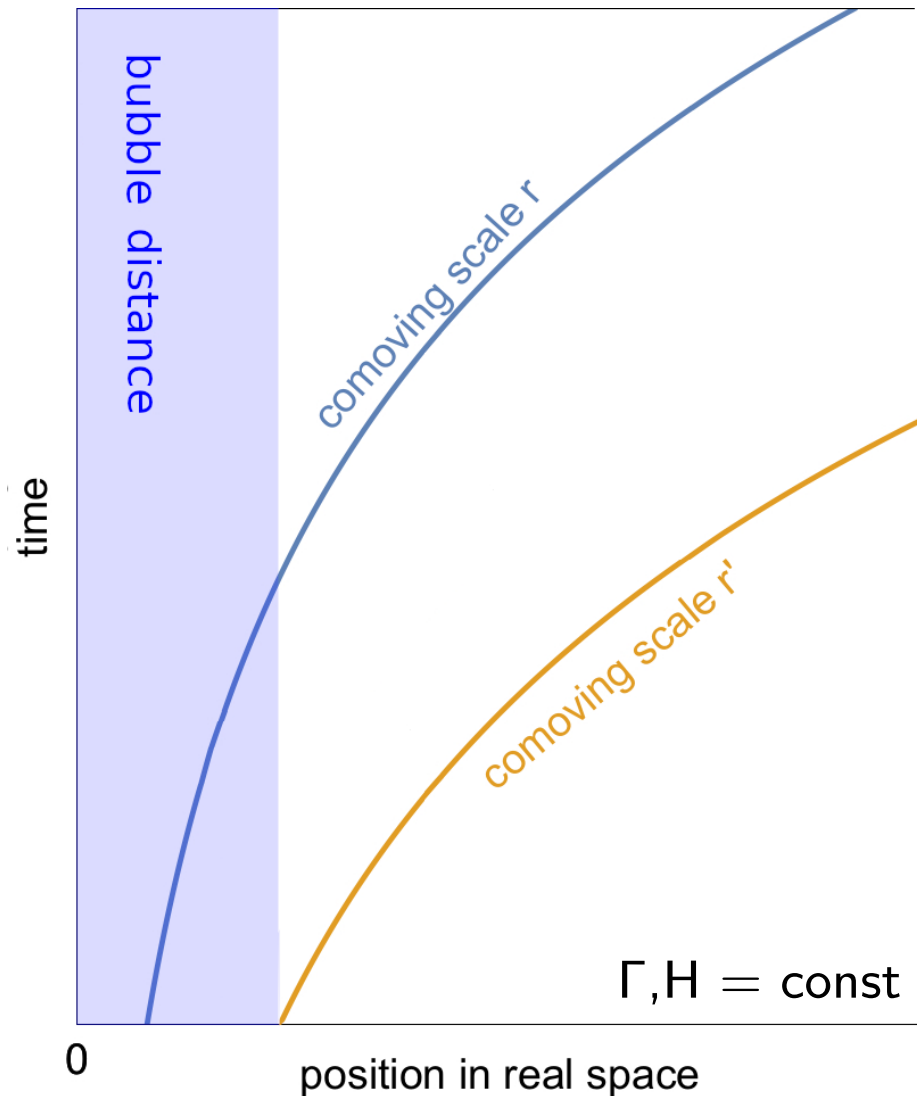
$$\Delta_{\mathcal{R}}^2 = \frac{H^2}{8\pi^2 \epsilon / \sqrt{3}}$$

$$\Delta_{\mathcal{R}}^2 = \frac{3}{4\pi} \frac{H^4}{\Gamma}$$

Watson et al. 2007, Feldstein, Tweedie 2007,  
Huang 2007, Chialva, Danielsson 2008 & 2009,  
Cline, Moore, Wang 2011

literature in vast  
disagreement

# Scalar Power Spectrum



two-point correlation:

$$\langle \delta\phi(r)\delta\phi(0) \rangle = \text{var}\phi(\Delta t) + \text{const}$$

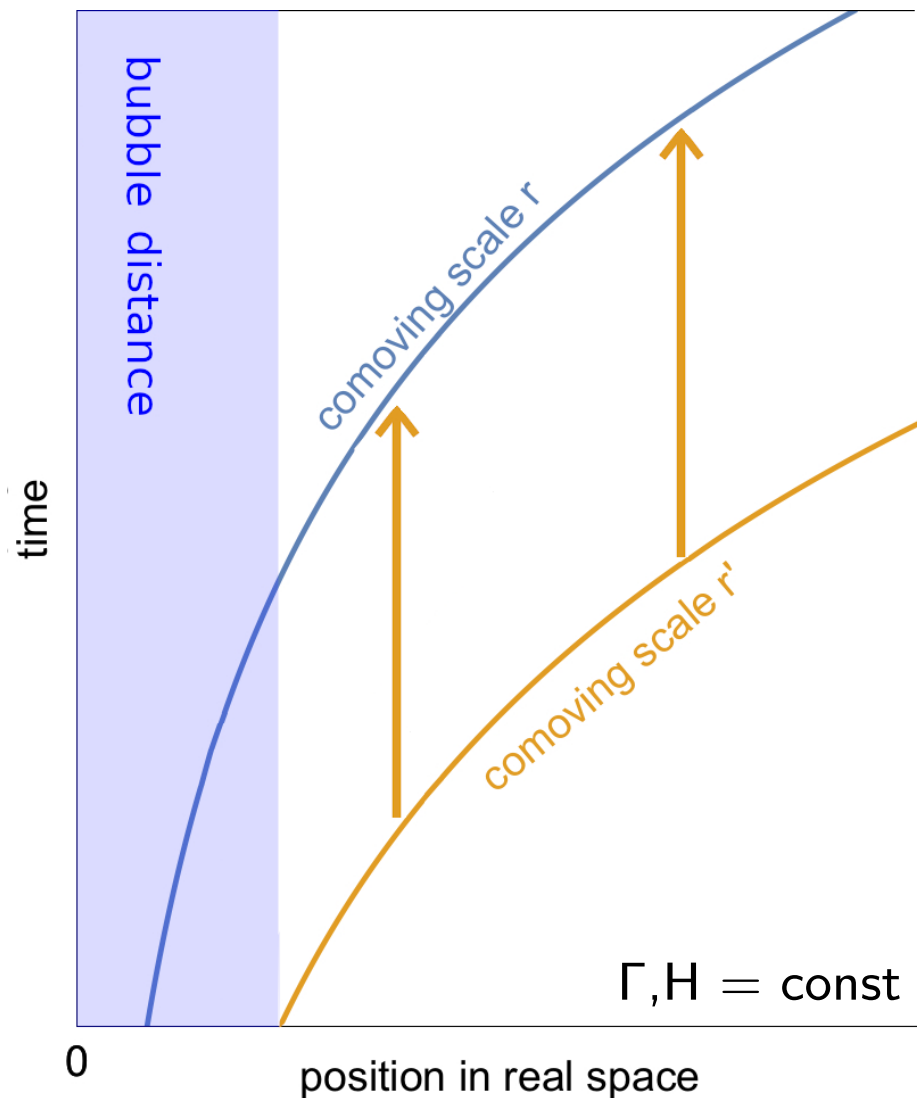
curvature perturbation

$$\mathcal{R} = H \left( \frac{d\langle\phi\rangle}{dt} \right)^{-1} \delta\phi$$

scalar power spectrum

$$\Delta_{\mathcal{R}}^2 = \left( \frac{d\langle\phi\rangle}{Hdt} \right)^{-2} \frac{d\text{var}\phi}{Hdt}$$

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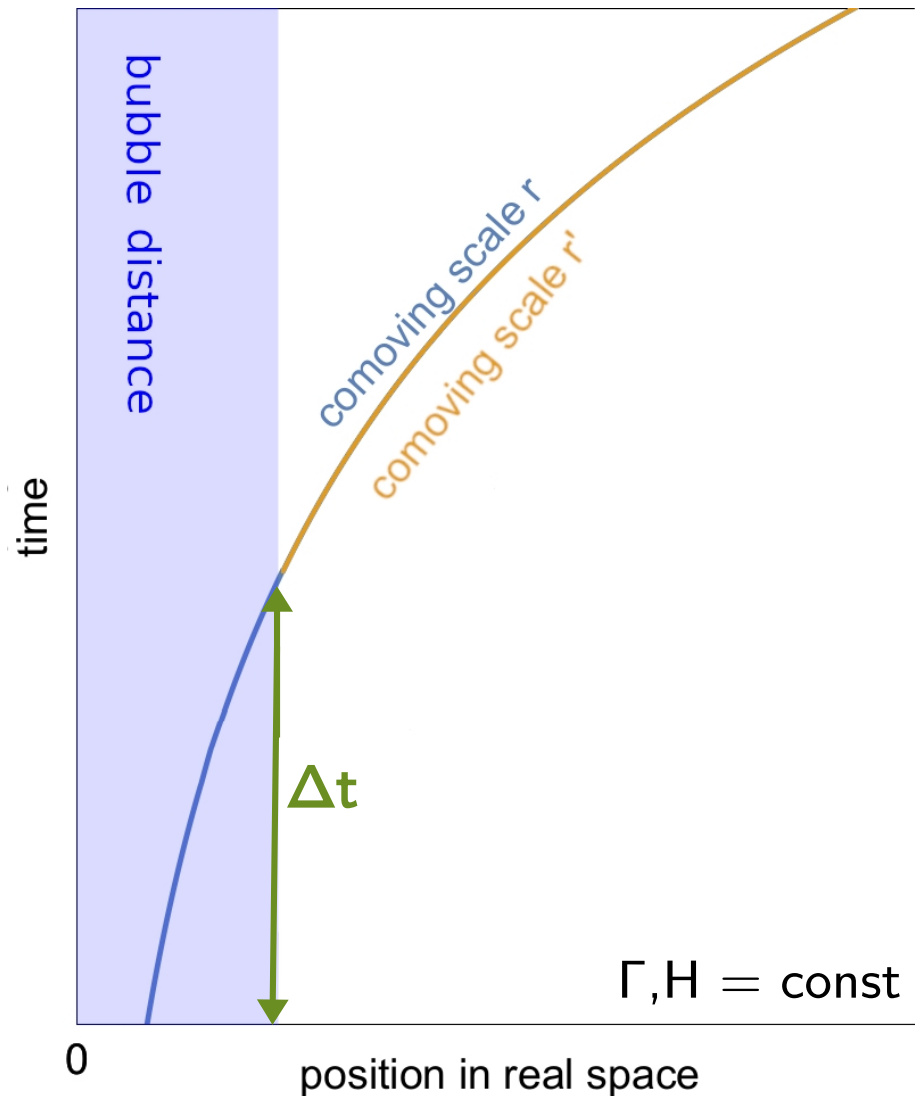
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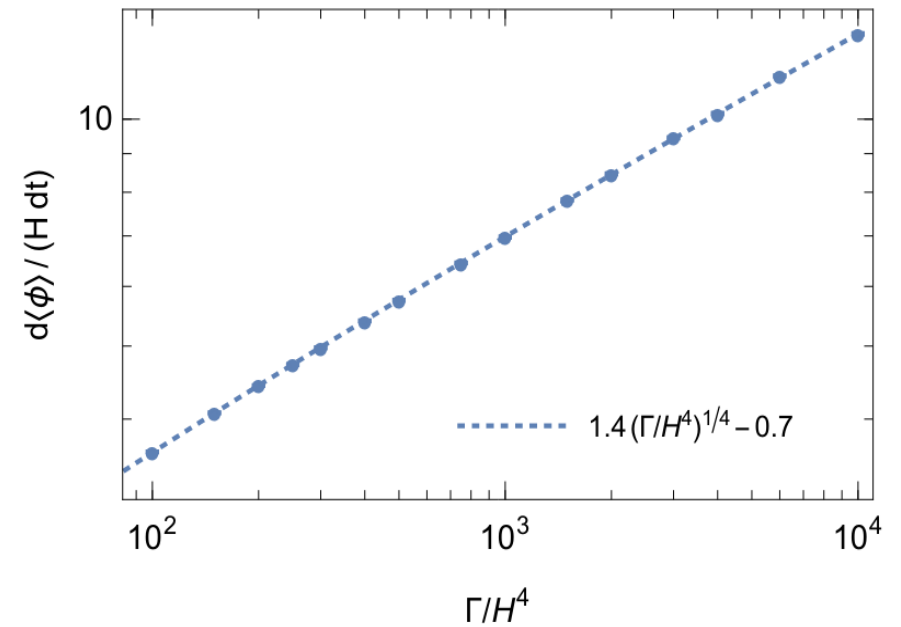
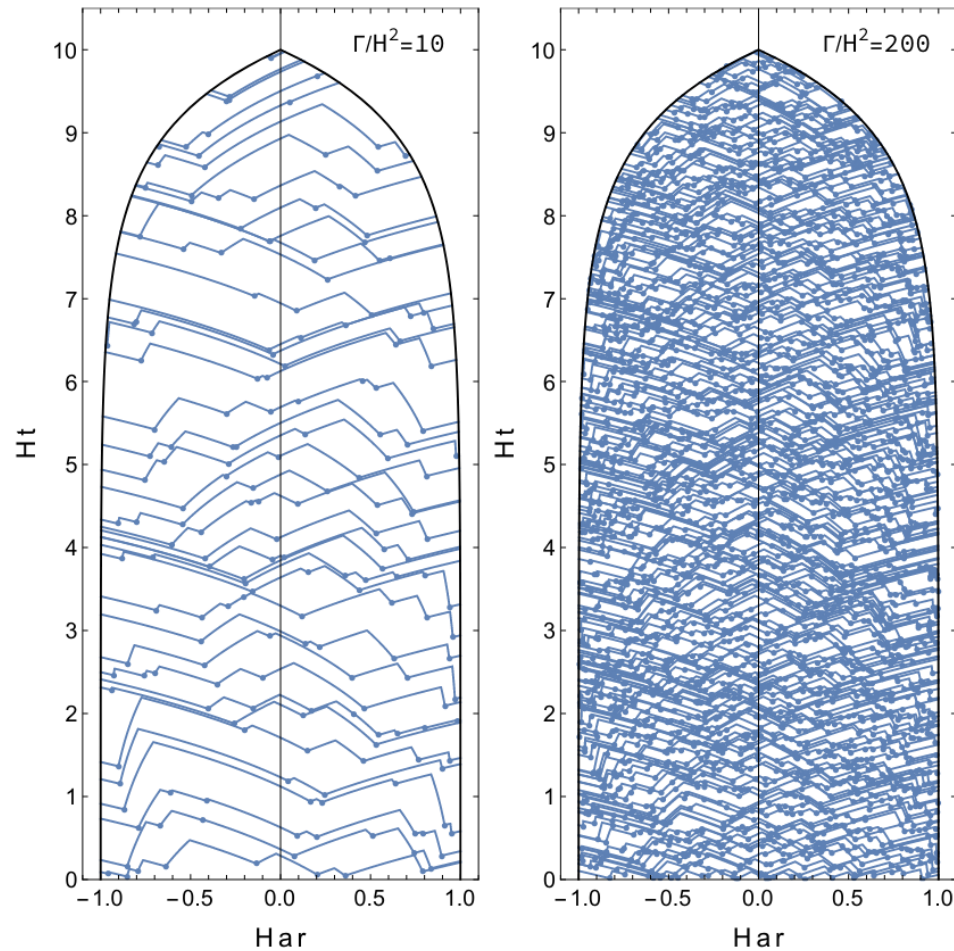
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# Simulations

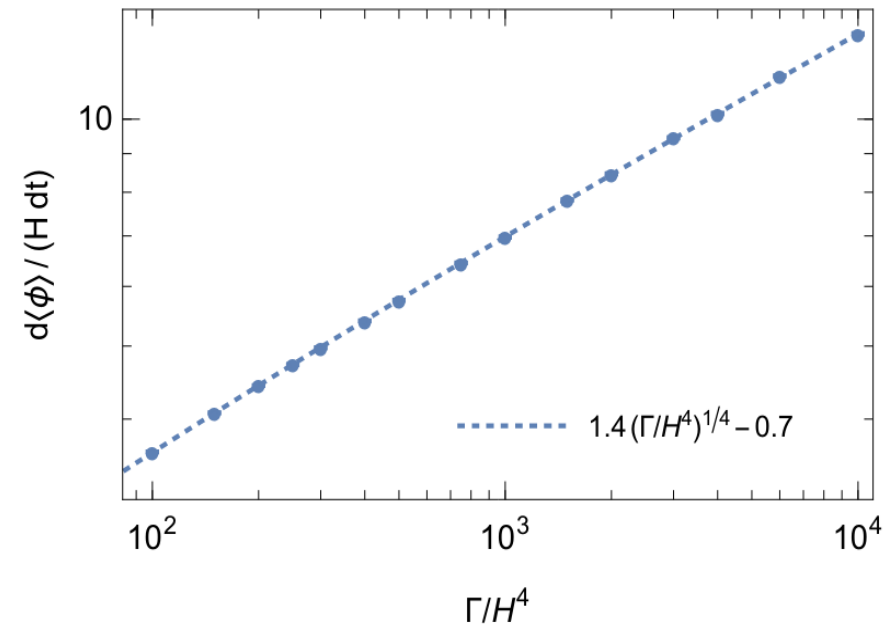
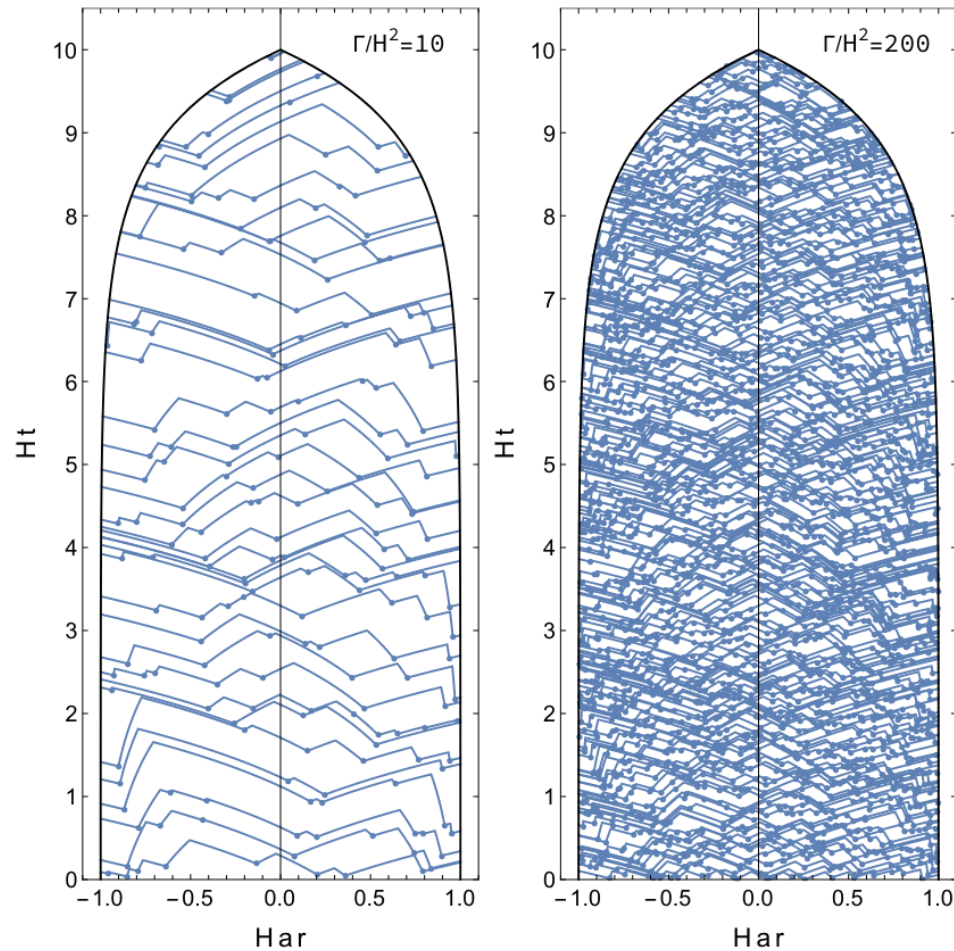


$$\frac{d\langle\phi\rangle}{Hdt} \propto \left(\frac{\Gamma}{H^4}\right)^{1/4} \quad \frac{d\text{var}\phi}{Hdt} \propto \left(\frac{\Gamma}{H^4}\right)^{1/12}$$

$$\Delta_{\mathcal{R}}^2 \simeq 0.06 \left(\frac{\Gamma}{H^4}\right)^{-5/12}$$



# Simulations



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$$\Delta_{\mathcal{R}}^2 \simeq 0.06 \left(\frac{\Gamma}{H^4}\right)^{-5/12}$$

$$\Delta_{\mathcal{R}}^2 = (0.04 \pm 0.02) \left(\frac{\Gamma}{H^4}\right)^{-0.42 \pm 0.03}$$

Feldstein, Tweedie 2007

# Comparison with CMB

- COBE normalization  $\Delta_{\mathcal{R}}^2 = 2 \times 10^{-9}$  implies

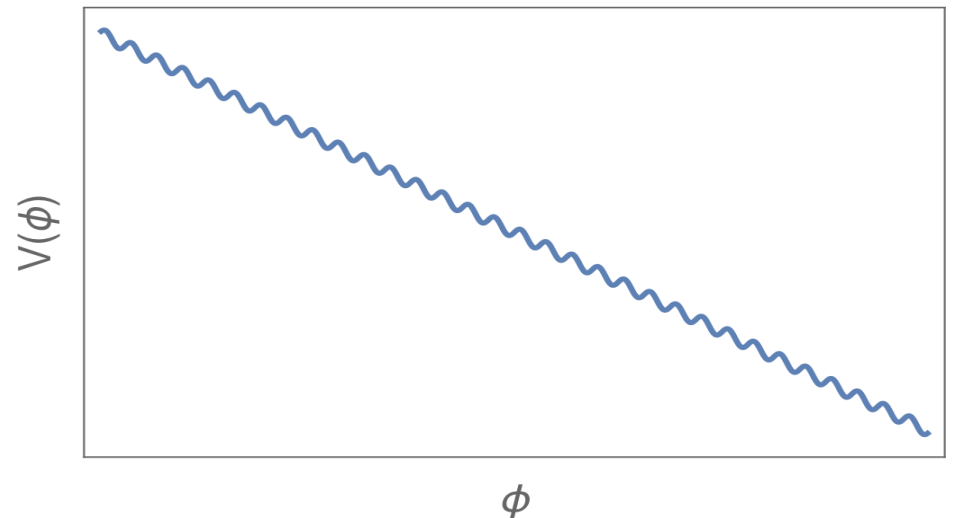
$$\frac{\Gamma}{H^4} = 10^{18} \implies \frac{\text{vacuum transitions}}{\text{e-fold of inflation}} \simeq \left( \frac{\Gamma}{H^4} \right)^{1/4} \simeq 4 \times 10^4$$

- scale-invariance of power spectrum broken by  $\dot{H}$ ,  $\dot{\Gamma}$

$$n_s = 1 + \frac{5}{12} \left( \frac{4\dot{H}}{H^2} - \frac{\dot{\Gamma}}{H\Gamma} \right)$$

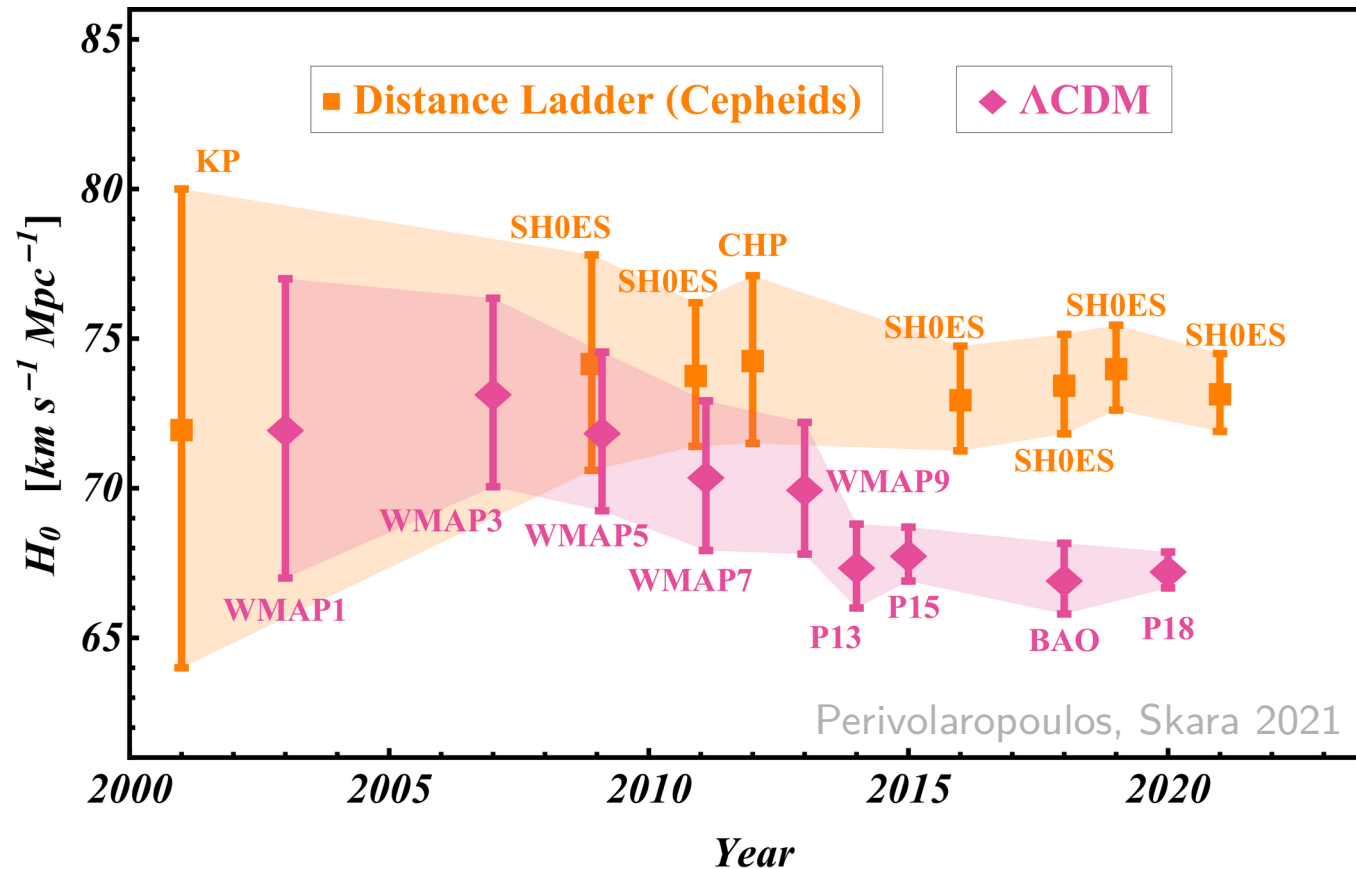
- simplest scenario with pure tilted cosine potential

$$n_s \simeq 1 - 0.03 \left( \frac{\Delta V}{10^{-6} V} \right)$$



# Hubble Crisis

- $H_0$  disagrees between CMB and local measurements

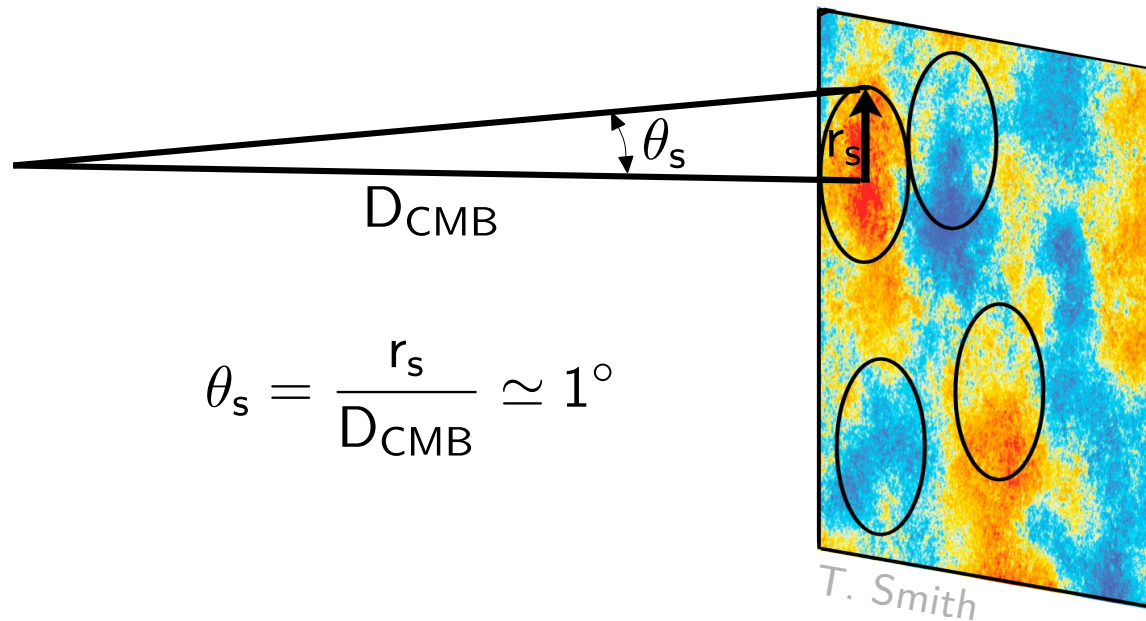


$$H_0 \left[ \frac{\text{km}}{\text{s Mpc}} \right] = 73.2 \pm 1.3 \quad (\text{SH0ES}) \quad H_0 \left[ \frac{\text{km}}{\text{s Mpc}} \right] = 67.3 \pm 0.6 \quad (\text{Planck 2018})$$

Riess et al. 2021

# Early Time Solution

- CMB fixes angular size of sound horizon



$$r_s = \int_{z_{\text{CMB}}}^{\infty} \frac{c_s dz}{H(z)}$$

dominated by  $H_0$

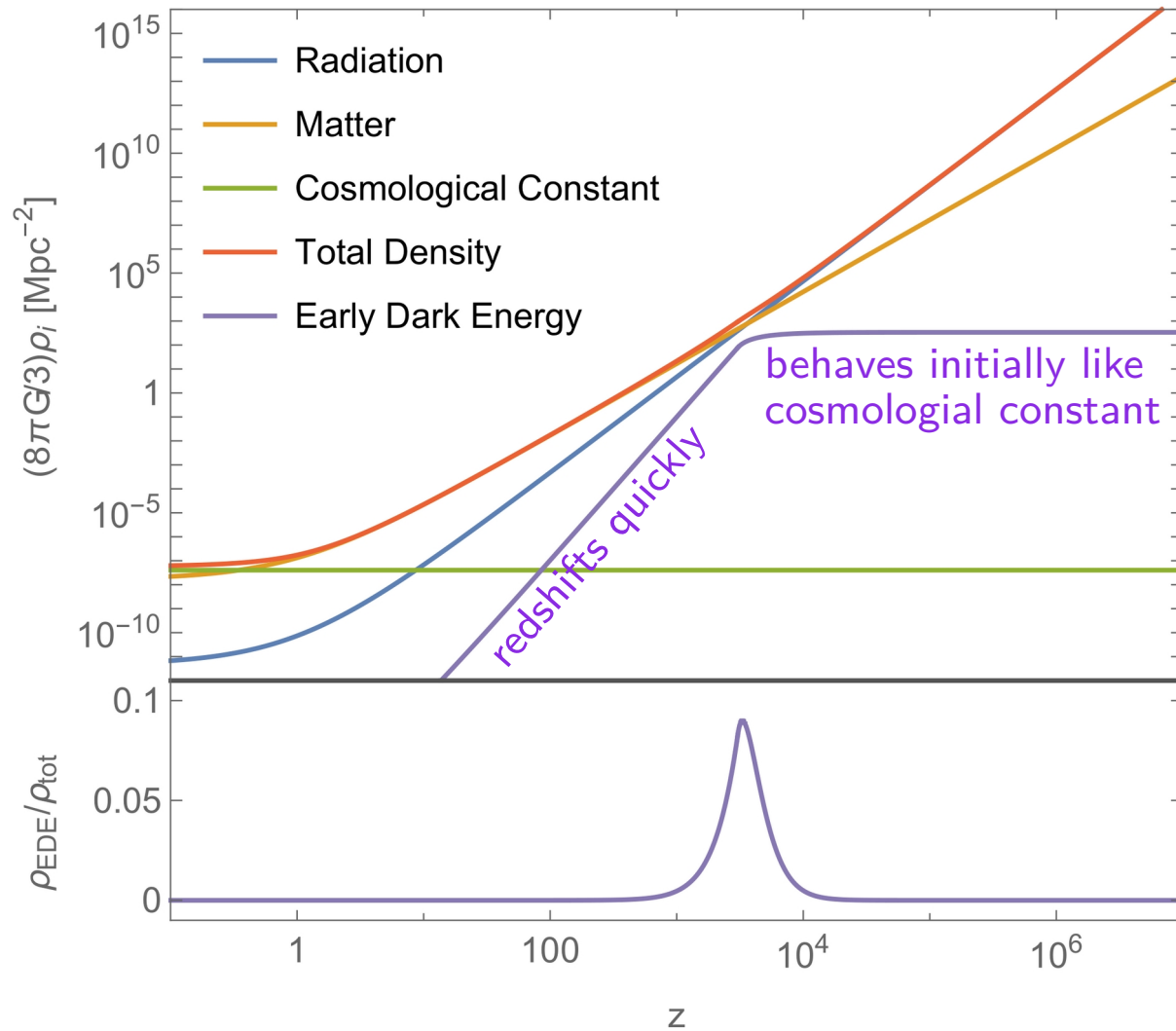
$$D_{\text{CMB}} = \int_0^{z_{\text{CMB}}} \frac{dz}{H(z)}$$

dominated by  $H(z)$  around  $z_{\text{CMB}}$

- additional energy density before recombination reduces sound horizon
- fixed  $\theta_s$  then requires larger  $D_{\text{CMB}} \longrightarrow H_0$  increases

# Early Dark Energy

Karwal, Kamionkowski 2016, Poulin et al. 2018 & 2019



- cosmological data favor peaked energy injection around  $z \sim 4000$

Smith et al. 2020

Datasets	$\Lambda$ CDM	EDE
<i>Planck</i> high- $\ell$	2446.66	2444
<i>Planck</i> low- $\ell$	10496.65	10493.25
<i>Planck</i> lensing	10.37	10.24
BAO-low $z$	1.86	2.53
BAO-high $z$	1.84	2.1
Pantheon	1027.04	1027.11
SH0ES	16.80	1.68
Total $\chi^2_{\min}$	14001.23	13980.94
$\Delta\chi^2_{\min}$	0	-20.29

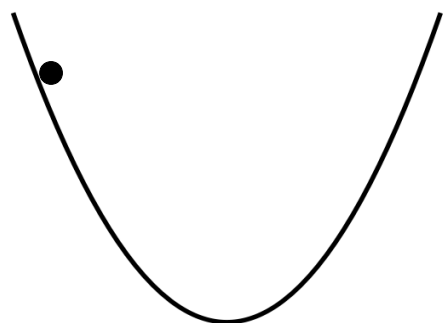
dark radiation only yields  $\Delta\chi^2_{\min} \simeq -4$

Agrawal et al. 2019

# Oscillating Scalar Field Models

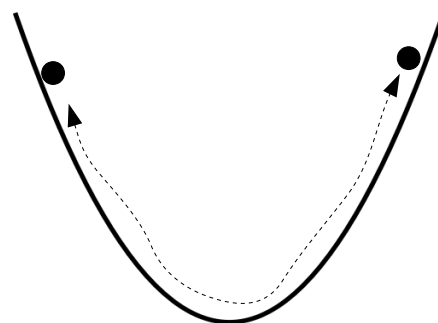
- scalar field in the dark sector displaced from its minimum

Smith et al. 2020



initially trapped  
by Hubble friction

$$\rho_\phi = \text{const}$$



oscillations once

$$H < m_\phi$$

$$\rho_\phi \propto a^{-n}$$

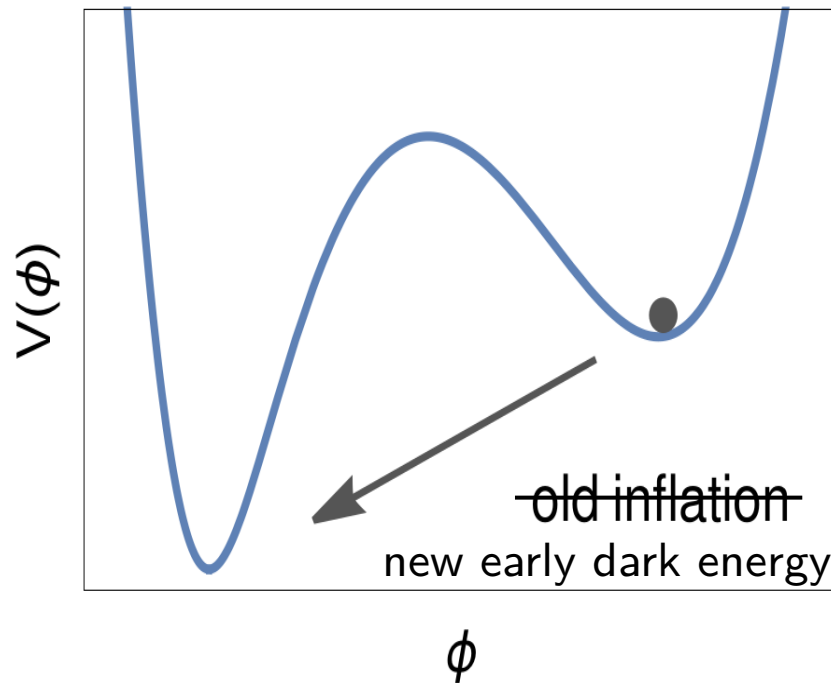
$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$$

- problem: standard potentials yield too slow redshift ( $n \sim 3$ ), 'weird' potential required

like non-relativistic matter

$$V \sim \left(1 - \cos \frac{\phi}{f}\right)^3$$

# Early Dark Energy via Phase Transition



- dark sector scalar field trapped in false vacuum  
 $\rho = \text{const}$
- bubbles of true vacuum, energy stored in bubble walls

- upon collision wall energy is transferred to
    - (1) anisotropic stress  $\rho \propto a^{-6}$  (?)
    - (2) gravity waves  $\rho \propto a^{-4}$
    - (3) dark radiation  $\rho \propto a^{-4}$
- } consistent with EDE

Niedermann, Sloth 2020 & 2021

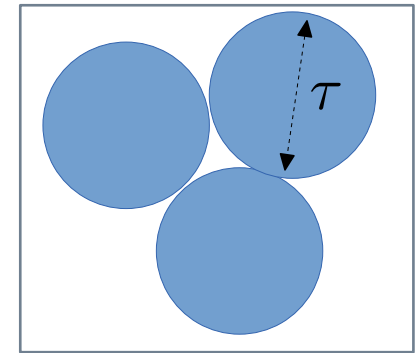
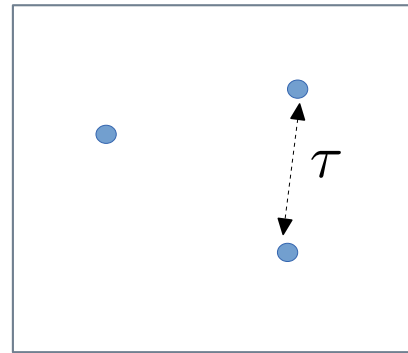
# The Anisotropy Problem

- required lifetime of the universe in the false vacuum

$$\tau \sim 2.5 \times 10^4 \text{ yr} \times \left( \frac{5000}{z_*} \right)^2$$

EDE solution  $z_* \lesssim 5000$

- anisotropies of size  $\Gamma^{-1/4} \sim \tau$  are formed



- angular size of fluctuations at last scattering

$$\theta \simeq 0.1^\circ \times \frac{5000}{z_*}$$

CMB observations:  $\theta \gtrsim 0.05$

Large Scale Structure:  $\theta \simeq 0.002 - 0.2$

► CMB, LSS spoiled



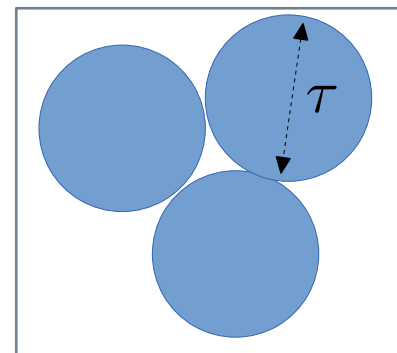
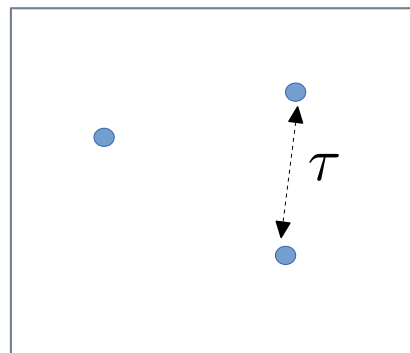
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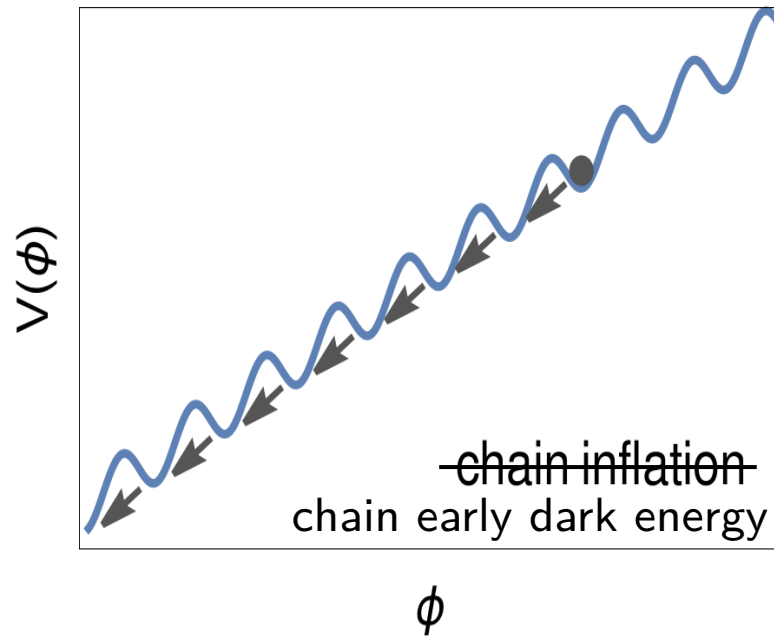
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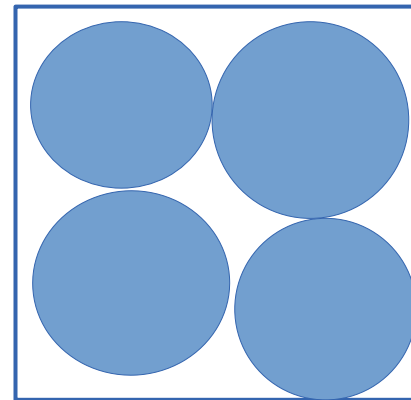
caveat: make  $\Gamma$  time-dependent in two-field system

Adams, Freese 1991, Niedermann, Sloth 2020 & 2021

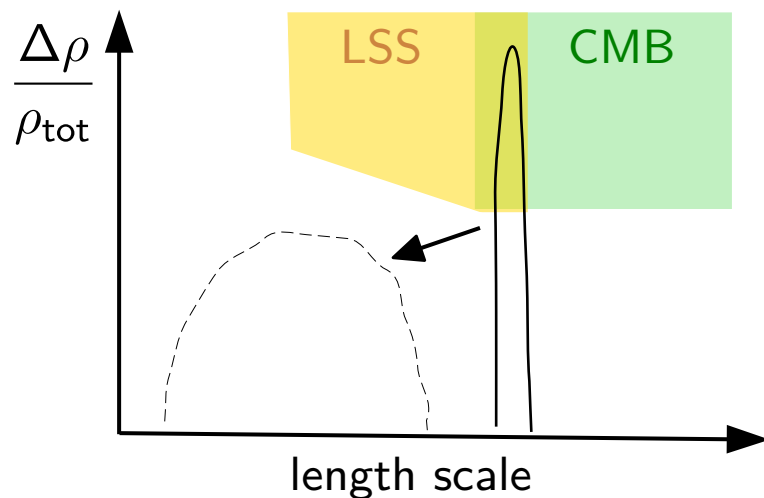
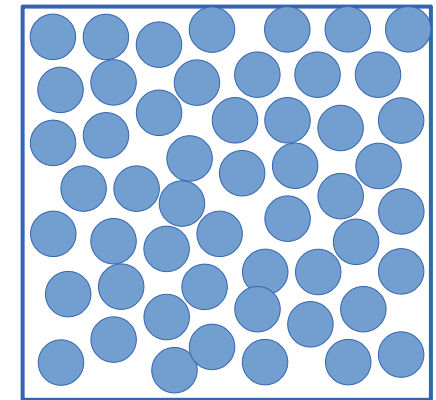
# Chain Early Dark Energy



single phase transition



multiple phase transitions



- multiple phase transitions reduce size and amplitude of anisotropies
- constraints evaded for  $N > 600$  transitions

# Evolution of Energy Density

including energy from  
bubble collisions:

$$z \frac{d\rho_\phi}{dz} \simeq \frac{1.4 \Delta V \Gamma^{1/4}}{H(z)}$$

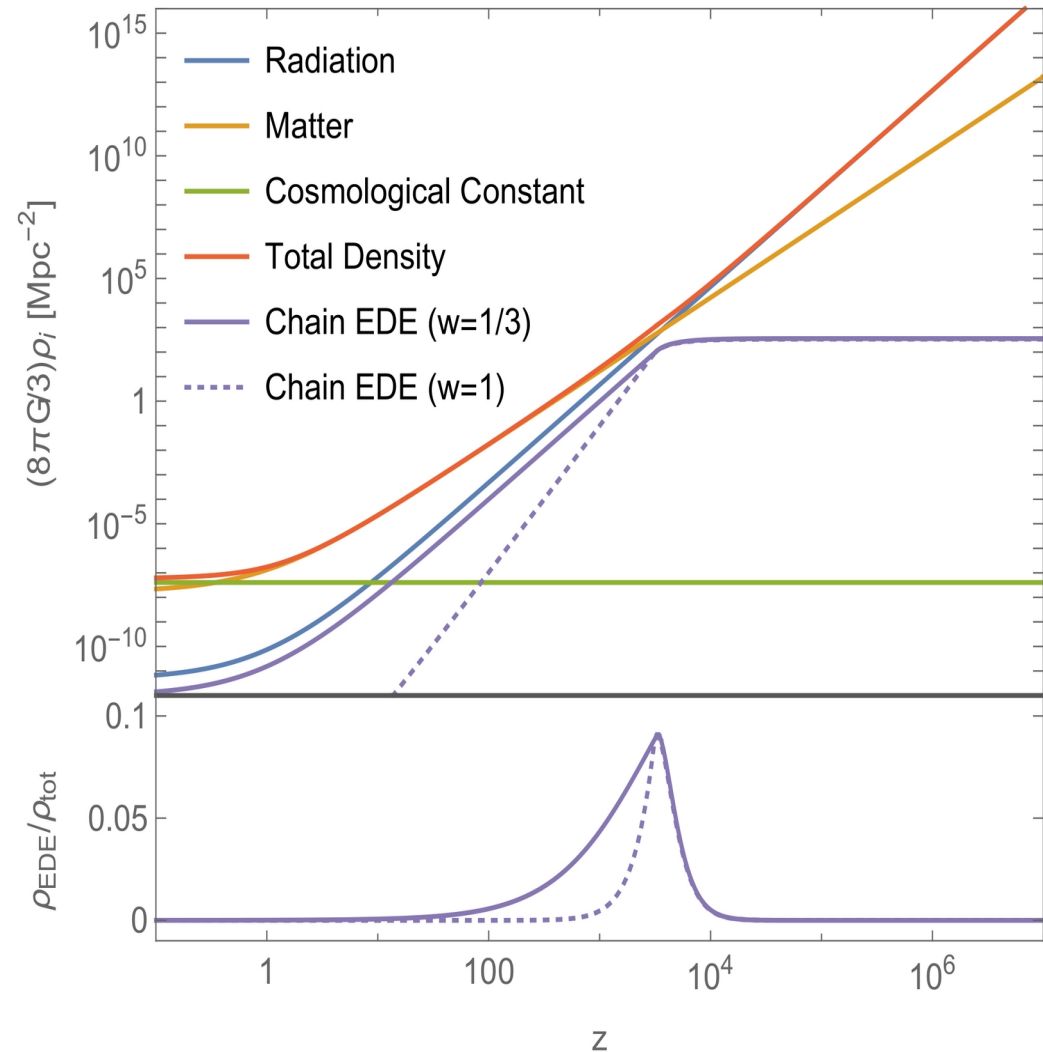
$$z \frac{d\rho_{\text{DS}}}{dz} \simeq -\frac{1.4 \Delta V \Gamma^{1/4}}{H(z)} + 3(1 + w) \rho_{\text{DS}}$$

$w = 1/3$  (dark radiation)

$w = 1$  (anisotropic stress)

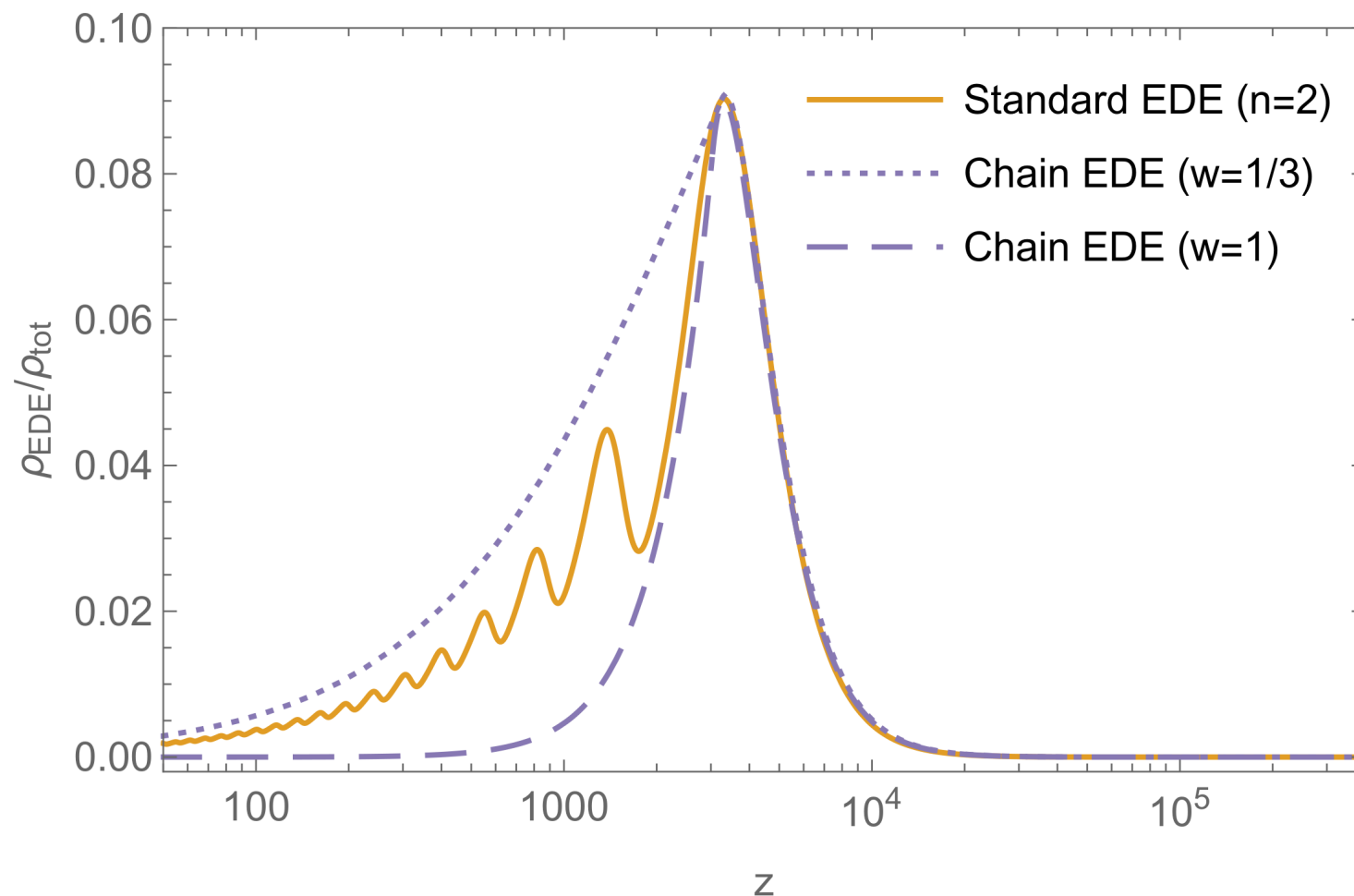
$\Gamma^{-1/4} \sim$  lifetime in single vacuum

$\Delta V$  = energy difference between vacua



$$V_0 = 0.25 \text{ eV}^4 \quad N \Gamma^{-1/4} = 8 \times 10^4 \text{ yr}$$

# Comparison with Standard EDE

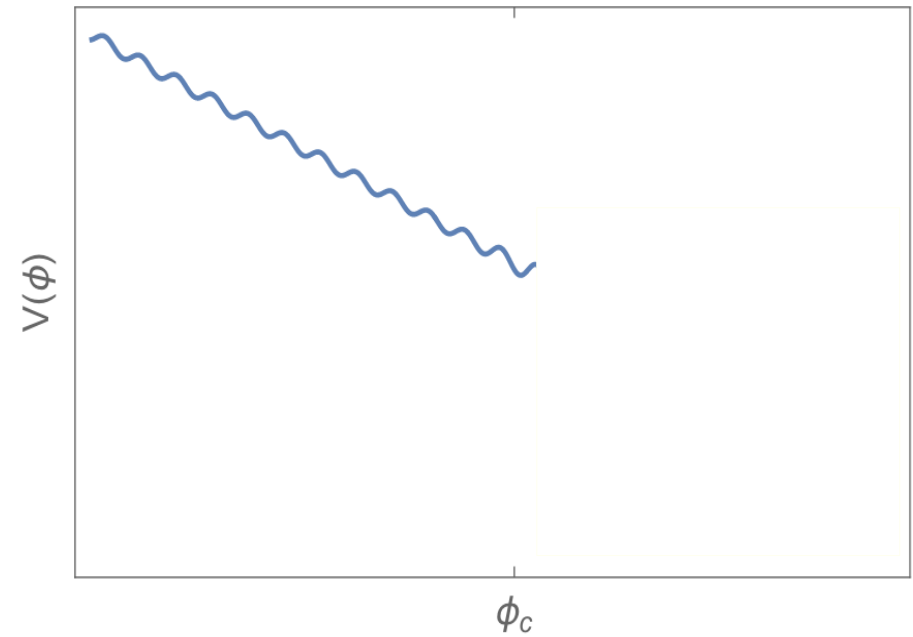


- evolution of  $\rho_{EDE}$  very similar to best-fit oscillating scalar field models ► **solution to Hubble tension**

# Model Realization via Axions

- tilted cosine

$$V = -gM^3\phi + \Lambda_0^4 \cos \frac{\phi}{f}$$

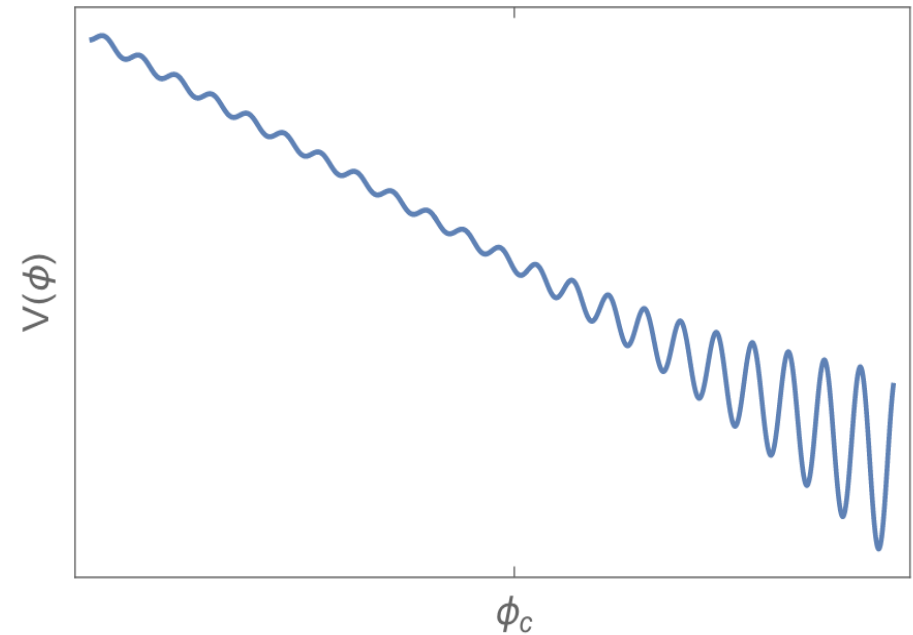


# Model Realization via Axions

- tilted cosine + stopping

$$V = -gM^3\phi + (\Lambda_0^4 + \Lambda_1^2\chi^2) \cos \frac{\phi}{f} \\ + (M^2 - gM\phi)\chi^2 + \lambda\chi^4$$

Graham, Kaplan, Rajendran 2015

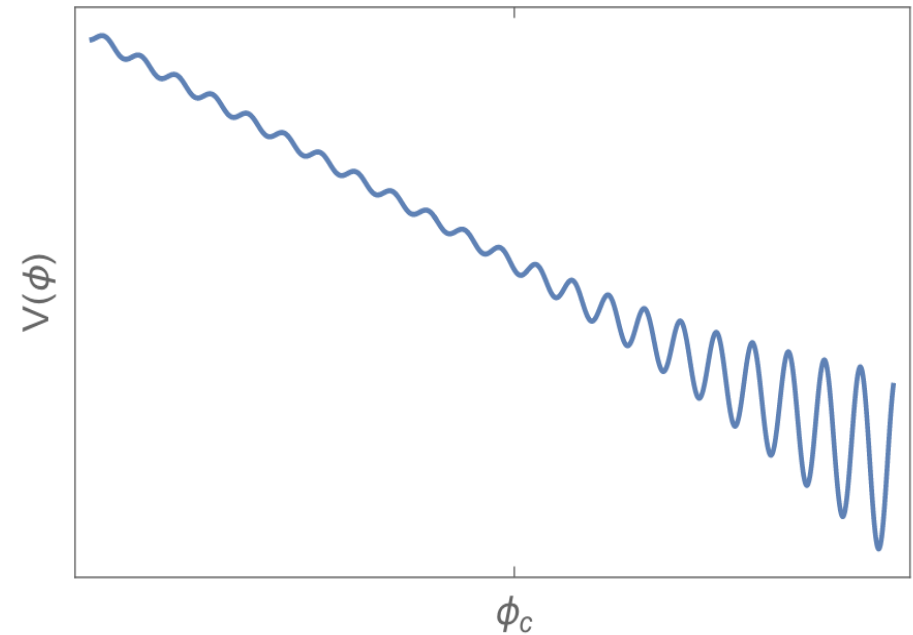
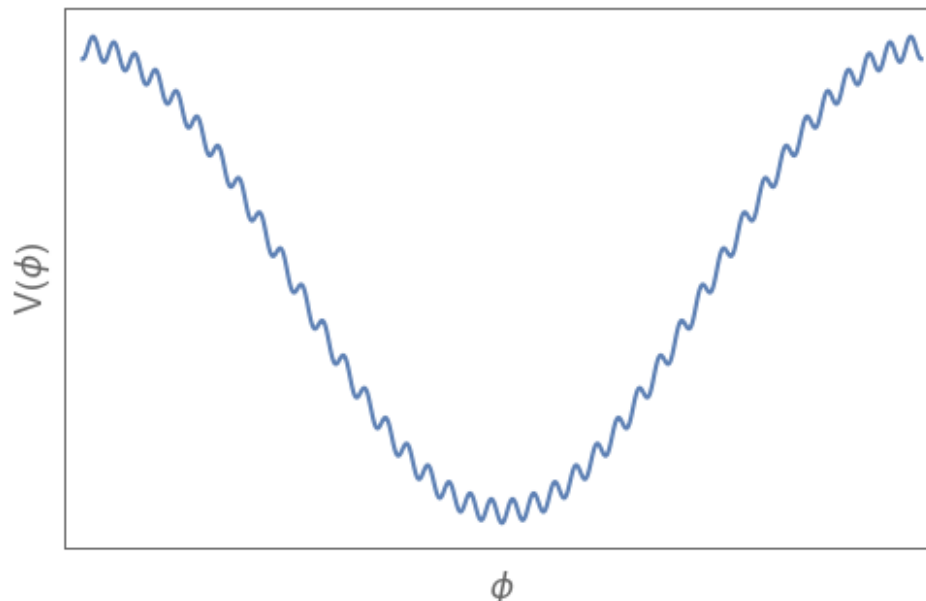


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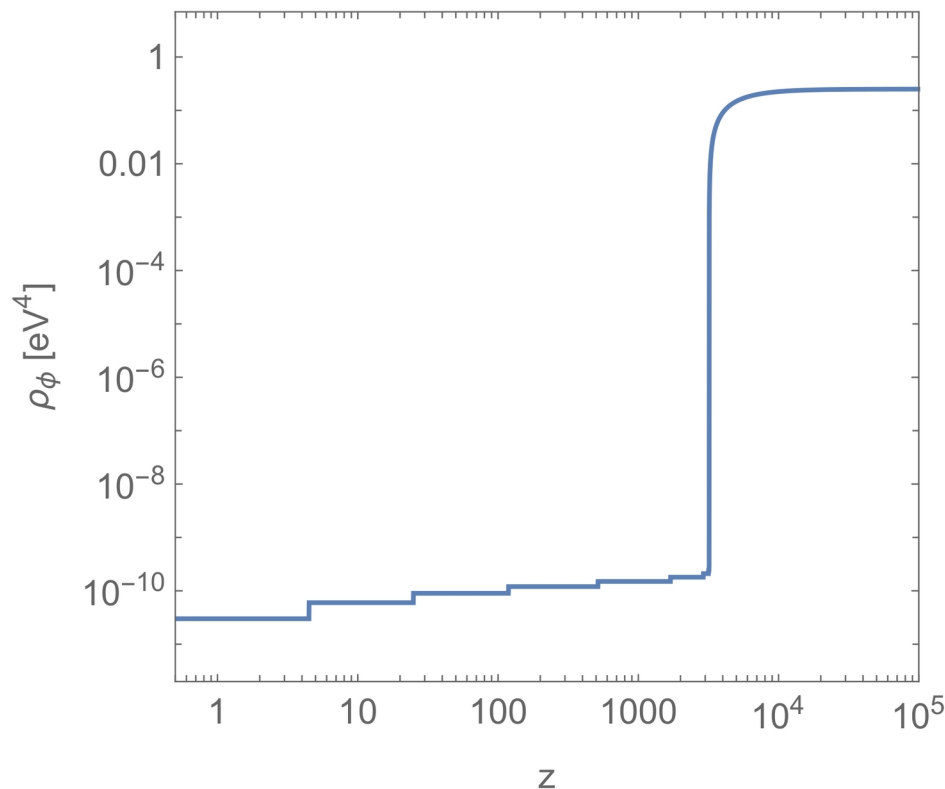
- double-periodic potentials, e.g. axion with leading and subleading instanton

# Connection to Dark Energy

- energy difference between minima in chain EDE

$$(\Delta V)^{1/4} \sim 2 \text{ meV} \times \frac{300}{N^{1/4}}$$

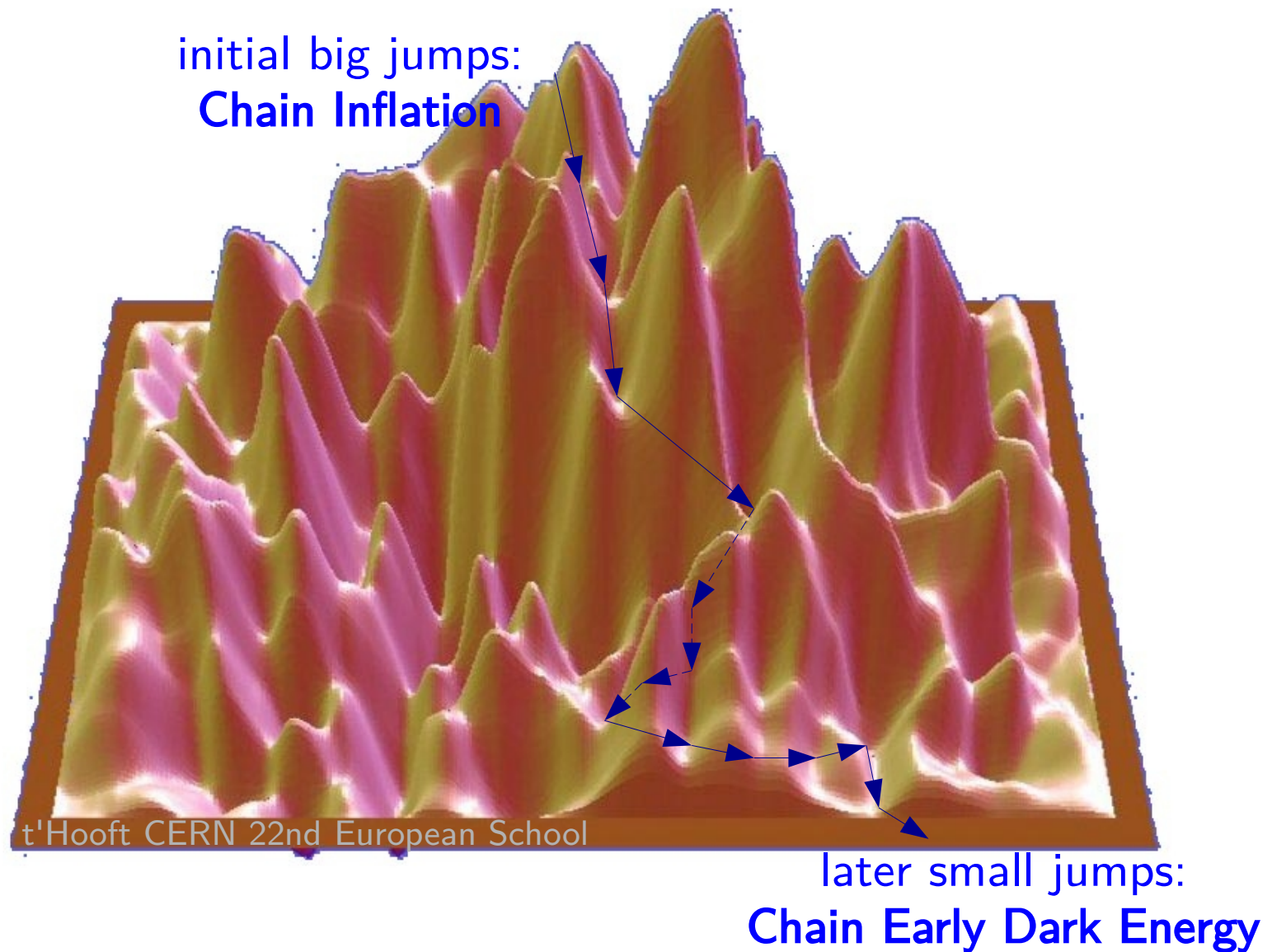
scale of today's  
Dark Energy



- EDE field may get trapped in the lowest minimum with positive energy and account for today's Dark Energy



# Recurrent Chain Dark Energy



see also: Freese, Liu, Spolyar 2006

# Summary

- vacuum transitions can have played a major role in the history of the universe
- chain inflation is a serious competitor for slow roll inflation
- chain early dark energy provides a solution to the  $H_0$  tension