

Scale Invariance and Conformal Invariance

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May 13, 2013
Johannes Gutenberg Universität Mainz

based on

arXiv:1106.2540, 1107.3840, 1110.1634, 1202.4757, 1206.2921,
1208.3674, 1210.2718 [hep-th] and work in progress

with Benjamín Grinstein, Christopher W. Murphy and Andreas Stergiou

Quiz

Questions about scale invariance and conformal conformal invariance

- Do scale field theories (SFTs) live at RG flow fixed points ?
- Do conformal field theories (CFTs) live at RG flow fixed points ?
- Does scale invariance imply conformal invariance ?
 - Proved to be true for $d = 2$ unitarity interacting quantum field theories (QFTs) with well-defined correlation functions
[Zamolodchikov \(1986\)](#) & [Polchinski \(1988\)](#)
 - Assumed to be true for $d = 4$

Question about possible types of RG flows

- Are there RG flow recurrent behaviors ?

Quiz answers

Questions about scale invariance and conformal conformal invariance

- ✗ Do scale field theories (SFTs) live at RG flow fixed points ?
- ✗ Do conformal field theories (CFTs) live at RG flow fixed points ?
- ✓ Does scale invariance imply conformal invariance ?
 - Proved to be true for $d = 2$ unitarity interacting quantum field theories (QFTs) with well-defined correlation functions
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Question about possible types of RG flows

- ✓ Are there RG flow recurrent behaviors ?

Why study these questions ?

QFT phases

- Infrared (IR) free
 - With mass gap \Rightarrow Exponentially-decaying correlation functions (e.g. Higgs phase)
 - Without mass gap \Rightarrow Trivial power-law correlation functions (e.g. Abelian Coulomb phase)
 - IR interacting
 - CFTs \Rightarrow Power-law correlation functions (e.g. non-Abelian Coulomb phase)
 - SFTs \Rightarrow ?

Possible types of RG flows

- Strong coupling
 - Weak coupling
 - Fixed points (e.g. Banks-Zaks fixed point [Banks, Zaks \(1982\)](#))
 - Recurrent behaviors (e.g. limit cycles or ergodic behaviors)

Outline

1 Motivations

2 Unknown unknowns: Scale versus conformal invariance

- Preliminaries
- Scale invariance and recurrent behaviors
- Renormalization-scheme changes
- Examples ?

3 Unknown knowns

- Scale invariance, c -theorem and gradient flows
- Ambiguities in RG functions
- Scale invariance implies conformal invariance

4 Discussion and conclusion

- Features and future work

Preliminaries ($d > 2$)

- Dilatation current Wess (1960)
 - $\mathcal{D}^\mu(x) = x^\nu T_\nu{}^\mu(x) - V^\mu(x)$
 - $T_\nu{}^\mu(x)$ any symmetric EM tensor following from spacetime nature of scale transformations
 - $V^\mu(x)$ local operator (virial current) contributing to scale dimensions of fields
 - Freedom in choice of $T_\nu{}^\mu(x)$ compensated by freedom in choice of $V^\mu(x)$
 - Scale invariance $\Rightarrow T_\mu{}^\mu(x) = \partial_\mu V^\mu(x)$

- Conformal current Wess (1960)
 - $\mathcal{C}_\nu^\mu(x) = v_\nu^\lambda(x) T_\lambda^\mu(x) - (\partial_\lambda v_\nu^\lambda)(x) V'^\mu(x) + (\partial_\rho \partial_\lambda v_\nu^\lambda)(x) L^{\rho\mu}(x)$
 - $T_\lambda^\mu(x)$ any symmetric EM tensor following from spacetime nature of conformal transformations
 - $V'^\mu(x)$ local operator corresponding to ambiguity in choice of dilatation current
 - $L^{\rho\mu}(x)$ local symmetric operator correcting position dependence of scale factor
 - $(\partial_\lambda v_\nu^\lambda)(x)$ scale factor (general linear function of x_ν)
 - Freedom in choice of $T_\lambda^\mu(x)$ compensated by freedom in choice of $V'^\mu(x)$ and $L^{\rho\mu}(x)$
 - Conformal invariance $\Rightarrow T_\mu^\mu(x) = \partial_\mu V'^\mu(x) = \partial_\mu \partial_\nu L^{\nu\mu}(x)$
 - Conformal invariance \Rightarrow Existence of symmetric traceless energy-momentum tensor Polchinski (1988)

Scale without conformal invariance

Non-conformal scale-invariant QFTs Polchinski (1988)

- Scale invariance $\Rightarrow T_\mu{}^\mu(x) = \partial_\mu V^\mu(x)$
 - Conformal invariance $\Rightarrow T_\mu{}^\mu(x) = \partial_\mu \partial_\nu L^{\nu\mu}(x)$
 - Scale without conformal invariance
 $\Rightarrow T_\mu{}^\mu(x) = \partial_\mu V^\mu(x)$ where $V^\mu(x) \neq J^\mu(x) + \partial_\nu L^{\nu\mu}(x)$ with
 $\partial_\mu J^\mu(x) = 0$
 - Constraints on possible virial current candidates
 - Gauge invariant (spatial integral)
 - Fixed $d - 1$ scale dimension in d spacetime dimensions
 - No suitable virial current \Rightarrow Scale invariance implies conformal invariance (examples: ϕ^p in $d = n - \epsilon$ for $(p, n) = (6, 3), (4, 4)$ and $(3, 6)$)

Virial current candidates

Most general classically scale-invariant renormalizable theory in $d = 4 - \epsilon$ spacetime dimensions [Jack, Osborn \(1985\)](#)

$$\begin{aligned}\mathcal{L} = & -\mu^{-\epsilon} Z_A \frac{1}{4g_A^2} F_{\mu\nu}^A F^{A\mu\nu} + \frac{1}{2} Z_{ab}^{\frac{1}{2}} Z_{ac}^{\frac{1}{2}} D_\mu \phi_b D^\mu \phi_c \\ & + \frac{1}{2} Z_{ij}^{\frac{1}{2}*} Z_{ik}^{\frac{1}{2}} \bar{\psi}_j i\bar{\sigma}^\mu D_\mu \psi_k - \frac{1}{2} Z_{ij}^{\frac{1}{2}*} Z_{ik}^{\frac{1}{2}} D_\mu \bar{\psi}_j i\bar{\sigma}^\mu \psi_k \\ & - \frac{1}{4!} \mu^\epsilon (\lambda Z^\lambda)_{abcd} \phi_a \phi_b \phi_c \phi_d \\ & - \frac{1}{2} \mu^{\frac{\epsilon}{2}} (y Z^y)_{a|ij} \phi_a \psi_i \psi_j - \frac{1}{2} \mu^{\frac{\epsilon}{2}} (y Z^y)_{a|ij}^* \phi_a \bar{\psi}_i \bar{\psi}_j\end{aligned}$$

- $\phi_a(x)$ real scalar fields
 - $\psi_i^\alpha(x)$ Weyl fermions
 - $A_\mu^A(x)$ gauge fields
 - Dimensional regularization with minimal subtraction

Virial current candidates and new improved EM tensor

- Virial current $V^\mu(x) = Q_{ab}\phi_a D^\mu \phi_b - P_{ij}\bar{\psi}_i i\bar{\sigma}^\mu \psi_j$
 - $Q_{ba} = -Q_{ab}$
 - $P_{ji}^* = -P_{ij}$
 - New improved energy-momentum tensor $[\Theta_\nu{}^\mu(x)]$ [Callan, Coleman, Jackiw \(1970\)](#)
 - Finite and not renormalized (vanishing anomalous dimension)
 - Anomalous trace [Osborn \(1989,1991\) & Jack, Osborn \(1990\)](#)

$$[\Theta_\mu{}^\mu(x)] = \frac{B_A}{2g_A^3} [F_{\mu\nu}^A F^{A\mu\nu}] - \frac{1}{4!} B_{abcd} [\phi_a \phi_b \phi_c \phi_d] - \frac{1}{2} (B_{a|ij} [\phi_a \psi_i \psi_j] + \text{h.c.}) - ((\delta + \Gamma) f) \cdot \frac{\delta}{\delta f} \mathcal{A}$$

- Anomalous trace

$$[\Theta_\mu{}^\mu(x)] = B^I [\mathcal{O}_I(x)] - ((\delta + \Gamma)f) \cdot \frac{\delta}{\delta f} A$$

- Conserved dilatation current $\partial_\mu D^\mu(x) = 0$ (up to EOMs)

$$\mathbf{B}^I = \mathcal{Q}^I \equiv -(gQ)^I$$

- Conserved conformal current $\partial_\mu \mathcal{C}_\nu^\mu(x) = 0$ (up to EOMs)

$$B' = 0$$

Virial current and unitarity bounds

- New improved energy-momentum tensor \Rightarrow Finite and not renormalized Callan, Coleman, Jackiw (1970)
 - Operators related to EOMs \Rightarrow Finite and not renormalized Politzer (1980) & Robertson (1991)
 - Virial current \Rightarrow Finite and not renormalized
 - Unconserved current with scale dimension exactly 3
 - Unitarity bounds for conformal versus scale-invariant QFTs Grinstein, Intriligator, Rothstein (2008)
 - Non-trivial virial current \Rightarrow Non-conformal scale-invariant QFTs

RG flows along scale-invariant trajectories

Scale-invariant solution $(\lambda_{abcd}, y_{a|ji}, g_A) \Rightarrow$ RG trajectory

$$\begin{aligned}\bar{\lambda}_{abcd}(t) &= \hat{Z}_{a'a}(t)\hat{Z}_{b'b}(t)\hat{Z}_{c'c}(t)\hat{Z}_{d'd}(t)\lambda_{a'b'c'd'} \\ \bar{y}_{a|ij}(t) &= \hat{Z}_{a'a}(t)\hat{Z}_{i'i}(t)\hat{Z}_{j'j}(t)y_{a'|i'j'} \\ \bar{g}_A(t) &= g_A\end{aligned}$$

$$\left. \begin{aligned} \hat{Z}_{a'a}(t) &= (e^{Qt})_{a'a} \\ \hat{Z}_{i'i}(t) &= (e^{Pt})_{i'i} \end{aligned} \right\} \quad t = \ln(\mu_0/\mu) \quad (\text{RG time})$$

- $(\bar{\lambda}_{abcd}(t, g, \lambda, y), \bar{y}_{a|ij}(t, g, \lambda, y), \bar{g}_A(t, g, \lambda, y))$ also scale-invariant solution
 - Q_{ab} and P_{ij} constant along RG trajectory
 - $\hat{Z}_{ab}(t)$ orthogonal and $\hat{Z}_{ij}(t)$ unitary \Rightarrow Always non-vanishing beta-functions along scale-invariant trajectory

Scale invariance and recurrent behaviors

RG flows along scale-invariant trajectories \Rightarrow Recurrent behaviors !

Lorenz (1963,1964), Wilson (1971) & Kogut, Wilson (1974)

- Virial current \Rightarrow Transformation in symmetry group of kinetic terms ($SO(N_S) \times U(N_F)$)
 - $\hat{Z}_{ab}(t)$ and $\hat{Z}_{ij}(t)$ in $SO(N_S) \times U(N_F)$
 - Q_{ab} antisymmetric and P_{ij} antihermitian \Rightarrow Purely imaginary eigenvalues

\Rightarrow Periodic (limit cycle) or quasi-periodic (ergodicity) scale-invariant trajectories

Recurrent behaviors

Intuition from $\mathcal{D}^\mu(x) = x^\nu T_\nu{}^\mu(x) - V^\mu(x)$

- RG flow \Rightarrow Generated by scale transformation ($x^\nu T_\nu{}^\mu(x)$)
 - RG flow \Rightarrow Related to virial current through conservation of dilatation current
 - Virial current \Rightarrow Generates internal transformation of the fields
 - Internal transformation in compact group $SO(N_S) \times U(N_F)$
 - \Rightarrow Rotate back to or close to identity
 - RG flow return back to or close to identity \Rightarrow Recurrent behavior

Scale-invariant trajectories ?

RG flows \sim Field redefinitions \Rightarrow Scale-invariant trajectories or fixed points ?

- **RG-time-dependent** field redefinitions \Rightarrow Generates RG flows
Wegner (1974) & Latorre, Morris (2001)
 - RG-time-dependent field redefinitions \Rightarrow All exact RG flows (Wilson, Wegner, Polchinski, etc.)

Beta-function operators \sim Redundant operators \Rightarrow Scale-invariant trajectories or fixed points ?

- Wavefunction renormalization operators \Rightarrow Redundant operators
 - Redundant beta-function operators necessary for scale invariance

Scale-invariant QFTs \Rightarrow Non-trivial RG flows (recurrent behaviors)

Why dilatation generators generate dilatations

Dilatation generators do not generate dilatations in non-scale-invariant QFTs [Coleman, Jackiw \(1971\)](#)

- Quantum anomalies at low orders
 - Anomalous dimensions
 - ⇒ Possible to absorb into redefinition of scale dimensions of fields
 - ✓ Preserve scale invariance
 - Quantum anomalies at high orders
 - Beta-functions
 - ⇒ Not possible to absorb
 - ✗ Break scale invariance

Why dilatation generators generate dilatations in scale-invariant QFTs ?

- Beta-functions on scale-invariant trajectories
 - Both vertex correction and wavefunction renormalization contributions
 - Very specific form for vertex correction contribution
 - Equivalent in form to wavefunction renormalization contribution (redundant operators)
 - ⇒ Also possible to absorb into redefinition of scale dimensions of fields
 - ✓ Preserve scale invariance !

- Beta-functions from vertex corrections and wavefunction renormalizations ($d = 4$ spacetime dimensions)

$$B_{abcd} = -\frac{d\lambda_{abcd}}{dt}$$

$$= -(\lambda\gamma^\lambda)_{abcd} + \lambda_{a'b'cd}\Gamma_{a'a} + \lambda_{ab'cd}\Gamma_{b'b} + \lambda_{abc'd}\Gamma_{c'c} + \lambda_{abcd'}\Gamma_{d'd}$$

$$B_{a|ij} = -\frac{dy_{a|ij}}{dt} = -(y\gamma^y)_{a|ij} + y_{a'|ij}\Gamma_{a'a} + y_{a|i'j}\Gamma_{i'i} + y_{a|ij'}\Gamma_{j'j}$$

$$B_A = -\frac{dg_A}{dt} = \gamma_A g_A \quad (\text{no sum})$$

- Beta-functions on scale-invariant trajectories

$$B_{abcd} = -\lambda_{a'bcd} Q_{a'a} - \lambda_{ab'cd} Q_{b'b} - \lambda_{abc'd} Q_{c'c} - \lambda_{abcd'} Q_{d'd}$$

$$B_{a|ij} = -y_{a'|ij} Q_{a'a} - y_{a|i'j} P_{i'i} - y_{a|ij'} P_{j'j}$$

$$B_A = 0$$

Ward identity for scale invariance

Callan-Symanzik equation for effective action [Callan \(1970\)](#) & [Symanzik \(1970\)](#)

$$\left[M \frac{\partial}{\partial M} + \textcolor{red}{B}^I \frac{\partial}{\partial g^I} + \textcolor{green}{F}_J \int d^4x f_I(x) \frac{\delta}{\delta f_J(x)} \right] \Gamma[f(x), g, M] = 0$$

- In non-scale-invariant QFTs

- ✓ Anomalous dimensions
 - ✗ Beta-functions

$$\left[M \frac{\partial}{\partial M} + (\Gamma + Q)_J^I \int d^4x f_I(x) \frac{\delta}{\delta f_J(x)} \right] \Gamma[f(x), g, M] = 0$$

- In scale-invariant QFTs

- ✓ Anomalous dimensions
 - ✓ Beta-functions (redundant operators)

Poincaré algebra augmented with dilatation charge

- Beta-functions on scale-invariant trajectories
 - Quantum-mechanical generation of scale dimensions
 - Appropriate scale dimensions required by virial current
 \Rightarrow Conserved dilatation current $\mathcal{D}^\mu(x)$
 - Poincaré algebra with dilatation charge $D = \int d^3x \mathcal{D}^0(x)$

$$[M_{\mu\nu}, M_{\rho\sigma}] = -i(\eta_{\mu\rho}M_{\nu\sigma} - \eta_{\nu\rho}M_{\mu\sigma} + \eta_{\nu\sigma}M_{\mu\rho} - \eta_{\mu\sigma}M_{\nu\rho})$$

$$[M_{\mu\nu}, P_\rho] = -i(\eta_{\mu\rho}P_\nu - \eta_{\nu\rho}P_\mu)$$

$$[D, P_\mu] = -iP_\mu$$

- Algebra action on fields $\mathcal{O}_I(x)$

$$[M_{\mu\nu}, \mathcal{O}_I(x)] = -i(x_\mu \partial_\nu - x_\nu \partial_\mu + \Sigma_{\mu\nu}) \mathcal{O}_I(x)$$

$$[P_\mu, \mathcal{O}_I(x)] = -i\partial_\mu \mathcal{O}_I(x)$$

$$[D, \mathcal{O}_I(x)] = -i(x \cdot \partial + \Delta)\mathcal{O}_I(x)$$

- New classical scale dimensions of fields due to virial current

$$[D, \phi_a(x)] = -i(x \cdot \partial + 1)\phi_a(x) - iQ_{ab}\phi_b(x)$$

$$[D, \psi_i(x)] = -i(x \cdot \partial + \frac{3}{2})\psi_i(x) - iP_{ij}\psi_j(x)$$

- How do non-conformal scale-invariant QFTs know about new scale dimensions ?
⇒ Generated by beta-functions !
 - Quantum-mechanical scale dimensions of fields

$$\Delta_{ab} = \delta_{ab} + \Gamma_{ab} + Q_{ab}$$

$$\Delta_{ij} = \frac{3}{2}\delta_{ij} + \Gamma_{ij} + P_{ij}$$

Scale-invariant trajectories ??

Beta-functions \sim Anomalous dimensions \Rightarrow Scale-invariant trajectories or fixed points ?

- Shift beta-functions away \Rightarrow Scheme change
 \times RG flow recurrent behaviors \Rightarrow RG flow fixed points

Non-conformal scale-invariant QFTs \Rightarrow Non-trivial RG flows

Scheme changes and RG flow fixed points

One-coupling case Gross (1975)

$$g \rightarrow \tilde{g}(g) = g + \mathcal{O}(g^3)$$

$$Z^{1/2}(g) \rightarrow \tilde{Z}^{1/2}(\tilde{g}) = Z^{1/2}(g)F(g) \quad \text{where} \quad F(g) = 1 + \mathcal{O}(g^2)$$

$$\tilde{B}(\tilde{g}) = B(g) \frac{\partial \tilde{g}}{\partial g}$$

$$\Gamma(g) = -Z^{-1/2}(g) \frac{dZ^{1/2}(g)}{dt} \quad \tilde{\Gamma}(\tilde{g}) = \Gamma(g) + F^{-1}(g) B(g) \frac{\partial F(g)}{\partial g}$$

- Scheme-independent properties

- Existence of RG flow fixed point $B(g_*) = 0$
 - $\Gamma(g_*) \Rightarrow$ Scaling behavior of Green functions
 - $\partial B(g)/\partial g|_{g=g_*} \Rightarrow$ Character of RG flow fixed point
 - First two coefficients in $B(g) \Rightarrow$ UV or IR coupling asymptotics (remaining terms can all be set to vanish)
 - First coefficient in $\Gamma(g) \Rightarrow$ UV or IR field scale factor

Scheme changes and scale-invariant trajectories

Multi-coupling case JFF, Grinstein, Stergiou (2012)

$$\tilde{B}(\tilde{g}) = -\frac{d\tilde{g}}{dt} = B(g) \frac{\partial \tilde{g}}{\partial g}$$

$$\tilde{\Gamma}(\tilde{g}) = F^{-1}(g)\Gamma(g)F(g) + F^{-1}(g)\mathbf{B}(g)\frac{\partial F(g)}{\partial g}$$

$$-\frac{df(g)}{dt} = -f(g)Q \quad (\text{on SFTs})$$

- (Natural) scheme-independent properties
 - Existence of scale-invariant trajectory $B(g_*) = -gQ$
 - $\Gamma(g_*) + Q$ eigenvalues \Rightarrow Scaling behavior of Green functions
 - $\partial B(g)/\partial g|_{g=g_*} + Q$ eigenvalues \Rightarrow Character of scale-invariant trajectory
 - First coefficient in $B(g)$ \Rightarrow UV or IR coupling asymptotics (remaining terms cannot all be set to vanish)
 - First coefficient in $\Gamma(g)$ \Rightarrow UV or IR field scale factor

Non-conformal scale-invariant correlation functions

- Scalar fields $\mathcal{O}_I(x)$ with scale dimensions Δ_I

$$\langle \mathcal{O}_I(x_1) \mathcal{O}_J(x_2) \rangle = \frac{g_{IJ}}{(x_1 - x_2)^{\Delta_I + \Delta_J}}$$

$$\langle \mathcal{O}_I(x_1) \mathcal{O}_J(x_2) \mathcal{O}_K(x_3) \rangle = \sum_{\substack{\delta_1 + \delta_2 + \delta_3 = \\ \Delta_I + \Delta_J + \Delta_K}} \frac{c_{IJK}^{\delta_1 \delta_2 \delta_3}}{(x_1 - x_2)^{\delta_1} (x_2 - x_3)^{\delta_2} (x_3 - x_1)^{\delta_3}}$$

- Non-vanishing two-point functions with $\Delta_I \neq \Delta_J$ contrary to CFTs
 - Two-point correlation functions of fundamental real scalar fields

$$\langle \phi_a(x) \phi_b(0) \rangle = \left[(x^2)^{-\frac{\Delta}{2}} G^\phi (x^2)^{-\frac{\Delta^T}{2}} \right]_{ab}$$

- G^ϕ constant real symmetric matrix

- Two-point correlation functions of scalar operators $\mathcal{O}_a(x)$

$$\begin{aligned} \langle \mathcal{O}_a(x) \mathcal{O}_b(0) \rangle &= \left[(x^2)^{-\frac{\Delta}{2}} G(x^2)^{-\frac{\Delta T}{2}} \right]_{ab} \\ &= i \int \frac{d^4 p}{(2\pi)^4} e^{-ip \cdot x} \left[(-p^2 - i\epsilon)^{\frac{\Delta}{2}-1} \tilde{G}(-p^2 - i\epsilon)^{\frac{\Delta T}{2}-1} \right]_{ab} \end{aligned}$$

- G (and \widetilde{G}) constant real symmetric matrices

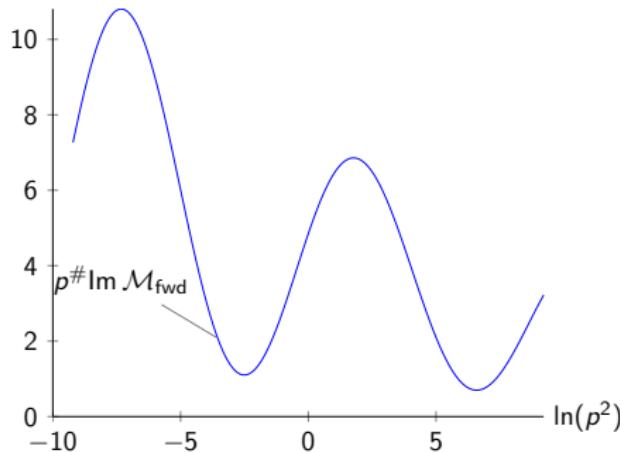
- Two-point correlation functions of vector operators $O_a^\mu(x)$

$$\begin{aligned} \langle \mathcal{O}_a^\mu(x) \mathcal{O}_b^\nu(0) \rangle &= \left[(x^2)^{-\frac{\Delta}{2}} \left(g^{\mu\nu} A + \frac{x^\mu x^\nu}{x^2} B \right) (x^2)^{-\frac{\Delta T}{2}} \right]_{ab} \\ &= -i \int \frac{d^4 p}{(2\pi)^4} e^{-ip \cdot x} \left[(-p^2 - i\epsilon)^{\frac{\Delta}{2}-1} \left(g^{\mu\nu} \tilde{A} + \frac{p^\mu p^\nu}{p^2} \tilde{B} \right) (-p^2 - i\epsilon)^{\frac{\Delta T}{2}-1} \right]_{ab} \end{aligned}$$

- A and B (and \tilde{A} and \tilde{B}) constant real symmetric matrices

Coupled QFT/SFT where $\mathcal{L} \supset g_a \chi \mathcal{O}_a + \text{h.c.}$ with external source χ and scalar operator \mathcal{O}_a

$$\begin{aligned}\mathcal{M} &= g_a g_b |\chi|^2 \left[(-p^2 - i\epsilon)^{\frac{\Delta}{2}-1} \tilde{G} (-p^2 - i\epsilon)^{\frac{\Delta^T}{2}-1} \right]_{ab} \\ \text{Im } \mathcal{M}_{\text{fwd}} &= g_a g_b |\chi|^2 \left[(p^2)^{\frac{\Delta}{2}-1} \left\{ \cos \left[\left(1 - \frac{\Delta}{2}\right) \pi \right] \tilde{G} \sin \left[\left(1 - \frac{\Delta^T}{2}\right) \pi \right] \right. \right. \\ &\quad \left. \left. + \sin \left[\left(1 - \frac{\Delta}{2}\right) \pi \right] \tilde{G} \cos \left[\left(1 - \frac{\Delta^T}{2}\right) \pi \right] \right\} (p^2)^{\frac{\Delta^T}{2}-1} \right]_{ab} \theta(p^0) \theta(p^2)\end{aligned}$$



Stability properties

Character of scale-invariant trajectory JFF, Grinstein, Stergiou (2012)

$$\delta g(t) = [g(t) - g_*(t)]e^{-Qt} = g(t)e^{-Qt} - g_*(0)$$
$$-\frac{d \delta g(t)}{dt} = [B(t) - Q(t)]e^{-Qt} + \delta g(t)Q = \delta g(t)S + \dots$$

$$B(t) = B|_{g=g_*(t)} + [g(t) - g_*(t)] \left. \frac{\partial B(g)}{\partial g} \right|_{g=g_*(t)} + \dots$$
$$= Q(t) + \delta g(t) \left. \frac{\partial B(g)}{\partial g} \right|_{g=g_*(0)} e^{Qt} + \dots$$

- Deformations $\delta g(t) = \delta g(0)e^{-St} + \dots$
 - Behavior of deformations in “comoving frame”
 - Choice of $g_*(0)$ arbitrary
- Stability matrix $S = \partial B(g)/\partial g|_{g=g_*(0)} + Q$
 - Scheme-independent eigenvalues
 - Positive (negative) eigenvalues \Rightarrow IR attractive (repulsive) deformations
 - Special eigenvector $\delta g(0) \propto Q(0)$ with vanishing eigenvalue \Rightarrow Deformation **along** scale-invariant trajectory

Scale invariance, c -theorem and gradient flows

c -theorem Barnes, Intriligator, Wecht, Wright (2004)

- RG flow \Rightarrow Irreversible process (integrating out DOFs)
- $c(g) \sim$ measure of number of massless DOFs
- c -theorem
 - weak ($c_{IR} < c_{UV}$) Komargodski, Schwimmer (2011) & Luty, Polchinski, Rattazzi (2012)
 - stronger ($\frac{dc}{dt} \leq 0$) Osborn (1989,1991) & Jack, Osborn (1990)
 - ~~strongest~~ (RG flows as gradient flows)

- Gradient flow

$$B^I(g) = -\frac{dg^I}{dt} = G^{IJ}(g) \frac{\partial c(g)}{\partial g^J}$$

- G^{IJ} positive-definite metric
- Potential $c(g)$ function of couplings

- Potential $c(g)$ monotonically decreasing along RG trajectory

$$\frac{dc(g(t))}{dt} = -G_{IJ}(g) B^I B^J \leq 0$$

- Recurrent behaviors (scale-invariant trajectories) $\not\Rightarrow$ Gradient flows (scale implies conformal invariance) [Wallace, Zia \(1975\)](#)

Polchinski–Dorigoni–Rychkov argument at one loop

Non-conformal scale-invariant beta-functions

$$B_{abcd} = Q_{abcd} \equiv -Q_{a'a}\lambda_{a'bcd} - Q_{b'b}\lambda_{ab'cd} - Q_{c'c}\lambda_{abc'd} - Q_{d'd}\lambda_{abcd}$$

$$B_{a|ij} = P_{a|ij} \equiv -Q_{a'a}y_{a'|ij} - P_{i'i}y_{a|i'j} - P_{j'j}y_{a|ij'}$$

- Real scalar fields only [Polchinski \(1988\)](#)
 - $Q_{abcd} B_{abcd}^{(\text{one-loop})} = 0 \Rightarrow Q_{abcd} = 0$
 - \Rightarrow Scale invariance implies conformal invariance
- Real scalar fields and Weyl fermions [Dorigoni, Rychkov \(2009\)](#)
 - $P_{a|ij}^* B_{a|ij}^{(\text{one-loop})} = 0 \Rightarrow P_{a|ij} = 0$
 - $Q_{abcd} B_{abcd}^{(\text{one-loop})} = 0$ using $P_{a|ij} = 0 \Rightarrow Q_{abcd} = 0$
 - \Rightarrow Scale invariance implies conformal invariance

Polchinski–Dorigoni–Rychkov argument at two loops

- Real scalar fields only JFF, Grinstein, Stergiou (2011)
 - $\mathcal{Q}_{abcd} B_{abcd}^{(\text{two-loop})} = 0 \Rightarrow \mathcal{Q}_{abcd} = 0$
 - \Rightarrow Scale invariance implies conformal invariance
 - One real scalar field only and Weyl fermions *ibid*
 - $\mathcal{P}_{a|ij}^* B_{a|ij}^{(\text{two-loop})} = 0 \Rightarrow \mathcal{P}_{a|ij} = 0$
 - $\mathcal{Q}_{abcd} \equiv 0$
 - \Rightarrow Scale invariance implies conformal invariance (also at all loops)
 - Real scalar fields and Weyl fermions *ibid*
 - $\mathcal{P}_{a|ij}^* B_{a|ij}^{(\text{two-loop})} \neq 0$
 - Scale invariance does NOT imply conformal invariance
 - Obstruction due to $y^3\lambda$ and $y\lambda^2$ terms (also obstruction to gradient flow interpretation Wallace, Zia (1975))
 - \Rightarrow Obstruction nevertheless allows for weakly-coupled gradient flow interpretation Jack, Osborn (1990)

Interference between $B_a^{(\text{two-loop})}$ and $B_{abcd}^{(\text{one-loop})}$

$$c \supset d_1 \text{tr}(y_a^* y_b y_c^* y_d) \lambda_{abcd} + d_2 \text{tr}(y_a^* y_b) \lambda_{acde} \lambda_{bcde}$$

- Contributions to beta-functions

$$\frac{\partial c}{\partial \lambda_{abcd}} \supset d_1 \text{tr}(y_a^* y_b y_c^* y_d) + 2d_2 \text{tr}(y_d^* y_e) \lambda_{abce} + \text{permutations}$$

$$\frac{\partial c}{\partial y_a} \supset 2d_1 y_b y_c^* y_d \lambda_{abcd} + d_2 y_b \lambda_{acde} \lambda_{bcde}$$

- True beta-functions

$$B_{abcd}^{(\text{one-loop})} \supset -\frac{1}{16\pi^2} \text{tr}(y_a^* y_b y_c^* y_d) + \frac{1}{16\pi^2} \frac{1}{6} \text{tr}(y_d^* y_e) \lambda_{abce} + \text{permutations}$$

$$B_a^{(\text{two-loop})} \supset -\frac{2}{(16\pi^2)^2} y_b y_c^* y_d \lambda_{abcd} + \frac{1}{(16\pi^2)^2} \frac{1}{12} y_b \lambda_{acde} \lambda_{bcde}$$

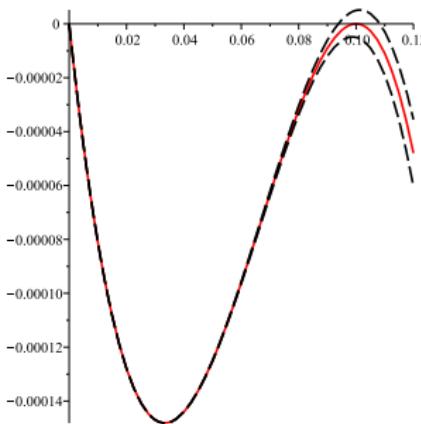
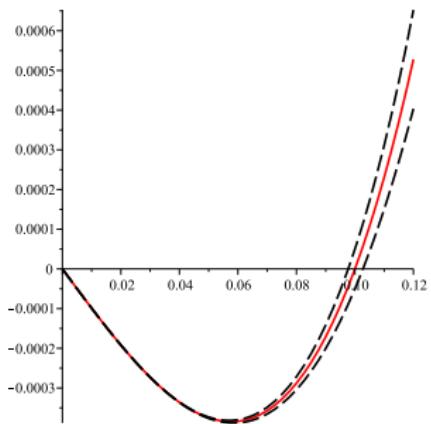
- $d_2/d_1 = -1/12$ for **both** beta-functions \Rightarrow No obstruction to gradient flow interpretation at two loops !

Polchinski–Dorigoni–Rychkov argument at three loops

- Real scalar fields only JFF, Grinstein, Stergiou (2012)
 - $\mathcal{Q}_{abcd} B_{abcd}^{(\text{three-loop})} \neq 0$
 - Scale invariance does NOT imply conformal invariance
 - Obstruction due to several terms
 - ⇒ Obstruction nevertheless allows for weakly-coupled gradient flow interpretation Jack, Osborn (1990)
- Real scalar fields and Weyl fermions *ibid*
 - $\mathcal{P}_{a|ij}^* B_{a|ij}^{(\text{three-loop})} \neq 0$
 - Scale invariance does NOT imply conformal invariance
 - Obstruction due to several terms
 - ⇒ ?

Schematically,

- RG flow fixed point
 - Stable with respect to higher-order corrections
 - RG flow recurrent behavior
 - Would-be RG flow fixed point at lowest order unstable with respect to higher-order corrections



Systematic approach

Scale-invariant trajectories at weak coupling

$$\lambda_{abcd} = \sum_{n>1} \lambda_{abcd}^{(n)} \epsilon^n \quad y_{a|ij} = \sum_{n>1} y_{a|ij}^{(n-\frac{1}{2})} \epsilon^{n-\frac{1}{2}} \quad g_A = \sum_{n>1} g_A^{(n-\frac{1}{2})} \epsilon^{n-\frac{1}{2}}$$

$$Q_{ab} = \sum_{n \geq 3} Q_{ab}^{(n)} \epsilon^n \quad P_{ij} = \sum_{n \geq 3} P_{ij}^{(n)} \epsilon^n$$

- ϵ small parameter
 - Obvious choice in $d = 4 - \epsilon$
 - One-loop gauge coupling beta-function coefficient in $d = 4$
[Banks, Zaks \(1982\)](#)
 - Form of expansions determined by beta-functions
 - For coupling constants \Rightarrow Lowest-order terms in beta-functions (would-be RG flow fixed points)
 - For virial current \Rightarrow Higher-order terms in beta-functions due to Polchinski–Dorigoni–Rychkov argument and gradient flow interpretation

Examples ?

Unphysical $d = 4 - \epsilon$ case

- Two real scalars and two Weyl fermions
 - Limit cycle (bounded-from-below scalar potential, CP conservation, vacuum at origin of field space)
 - Strongly-coupled condensed matter example in $\epsilon \rightarrow 1$ limit (universality class ?)

$$V = \frac{1}{24}\lambda_1\phi_1^4 + \frac{1}{24}\lambda_2\phi_2^4 + \frac{1}{4}\lambda_3\phi_1^2\phi_2^2 + \frac{1}{6}\lambda_4\phi_1^3\phi_2 + \frac{1}{6}\lambda_5\phi_1\phi_2^3 + [\frac{1}{2}y_1\phi_1(\psi_1\psi_1 - \psi_2\psi_2) + \frac{1}{2}y_2\phi_2(\psi_1\psi_1 - \psi_2\psi_2) + \text{h.c.}]$$

$$Q = \begin{pmatrix} 0 & q_1 \\ -q_1 & 0 \end{pmatrix} \quad P = \begin{pmatrix} ip_1 & p_3 + ip_4 \\ -p_3 + ip_4 & ip_2 \end{pmatrix}$$

$d = 4 - \epsilon$ example with two real scalars and two Weyl fermions

$$\lambda_1 = \frac{8(7087 + 357\sqrt{52953})}{102885} \pi^2 \epsilon$$

$$\lambda_2 = \frac{64(6346+9\sqrt{52953})}{102885} \pi^2 \epsilon$$

$$\lambda_3 = -\frac{272(\sqrt{52953}-57)}{102885}\pi^2\epsilon$$

$$\lambda_4 = \frac{32\sqrt{323(757 - 3\sqrt{52953})}}{102885} \pi^2 \epsilon$$

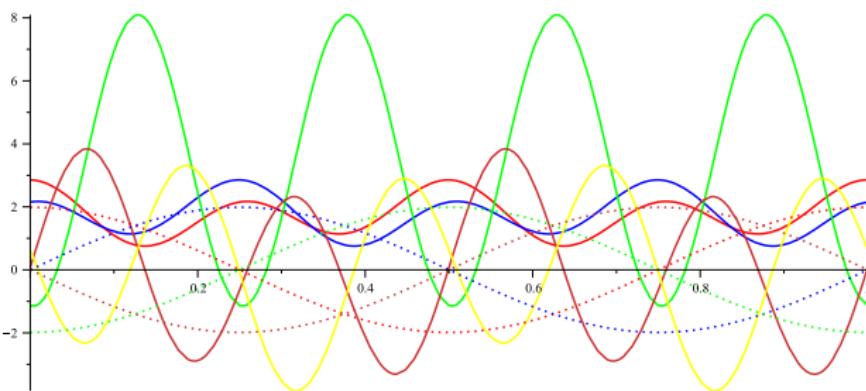
$$\lambda_5 = \frac{272\sqrt{323(757 - 3\sqrt{52953})}}{102885} \pi^2 \epsilon$$

$$y_1 = \frac{2}{5} \sqrt{10} \pi \sqrt{\epsilon}$$

$$y_2 = 0$$

$$q_1 = \frac{\sqrt{323(757 - 3\sqrt{52953})}}{2057700} \epsilon^3$$

$$p_i = \{0, 0, 0, \text{undetermined}\}$$



$d = 4 - \epsilon$ example with two real scalars and two Weyl fermions

- Stability matrix eigenvalues x
 - Seven-dimensional coupling subspace \Rightarrow Seven independent couplings $(\lambda_{1,\dots,5}, y_{1,2})$
 - $\det(x\mathbb{1} - S) = 0$ with $x = z\epsilon + \dots$

$$z(z-1) \left(z^5 - \frac{\sqrt{52953}}{57} z^4 + \frac{1894 + \sqrt{52953}}{475} z^3 - \frac{240768 - 335\sqrt{52953}}{135375} z^2 \right. \\ \left. - \frac{421203 - 1573\sqrt{52953}}{225625} z + \frac{136(757\sqrt{52953} - 158859)}{64303125} \right) = 0$$

- Character of scale-invariant trajectory
 - IR attractive deformations
 $z \approx 2.4, z = 1, z \approx 0.99, z \approx 0.74, z \approx 0.095$
 - IR repulsive deformation $z \approx -0.19$
 - Deformation along scale-invariant trajectory $z = 0$

Physical $d = 4$ case

- $SU(3)$ gauge theory with two real scalars (singlet) and two active flavors of Weyl fermions (fundamental)
 - $(29 - 3\epsilon)/2$ sterile flavors of Weyl fermions with $\epsilon = 1/3$
 - Limit cycle (unbounded-from-below scalar potential, CP non-conservation)

⇒ Example with bounded-from-below scalar potential ?

What is happening ?

Quiz answers

Questions about scale invariance and conformal invariance

- ✗ Do scale field theories (SFTs) live at RG flow fixed points ?
 - ✗ Do conformal field theories (CFTs) live at RG flow fixed points ?
 - ✓ Does scale invariance imply conformal invariance ?
 - Proved to be true for $d = 2$ unitarity interacting quantum field theories (QFTs) with well-defined correlation functions
[Zamolodchikov \(1986\)](#) & [Polchinski \(1988\)](#)
 - Assumed to be true for $d = 4$

Question about possible types of RG flows

- ✓ Are there RG flow recurrent behaviors ?

c-theorem and gradient flow at weak coupling

- Weyl consistency conditions Osborn (1989,1991) & Jack, Osborn (1990)

$$\frac{\partial c(g)}{\partial g^I} = (G_{IJ} + A_{IJ})\beta^J \Rightarrow \frac{dc(g(t))}{dt} = -\beta^I G_{IJ}(g)\beta^J$$

- Curved spacetime \Rightarrow Background metric with spacetime-dependent couplings

\Rightarrow (Weak-coupling) RG flow recurrent behaviors forbidden at all loops ?

Local and global renormalized operators

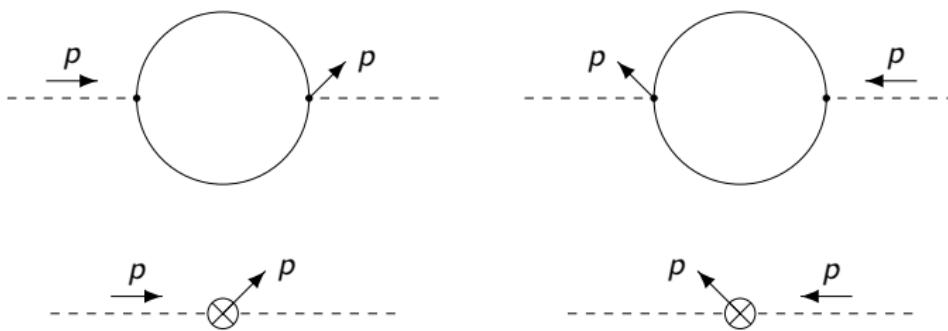
Global renormalized operator $\mathcal{O}_I(x) = \partial\mathcal{L}(x)/\partial g^I$

- Finite global insertion in Green functions \Rightarrow
 $-i\partial\langle \dots \rangle / \partial g^I = \langle \int d^d x \mathcal{O}_I(x) \dots \rangle$
 - **Infinite** local insertion in Green functions $\Rightarrow \langle \mathcal{O}_I(x) \dots \rangle$

Local renormalized operator $[\mathcal{O}_I(x)] = \delta\mathcal{A}/\delta g^I(x)$

- Finite local insertion in Green functions \Rightarrow
 $\langle [\mathcal{O}_I(x)] \dots \rangle = \langle (\mathcal{O}_I(x) - \partial_\mu J_I^\mu(x)) \dots \rangle$
 - Infinite current $J_I^\mu(x) = -(N_I)_{ab} \phi_a D^\mu \phi_b + (M_I)_{ij} \bar{\psi}_i i \bar{\sigma}^\mu \psi_j$
 - $(N_I)_{ba} = -(N_I)_{ab}$ and $(M_I)_{ji}^* = -(M_I)_{ij}$
 - $N_I = \sum_{i>1} \frac{N_I^{(i)}}{\epsilon_i^{(i)}}$ and $M_I = \sum_{i>1} \frac{M_I^{(i)}}{\epsilon_i^{(i)}}$

Computations of new divergences



$$(N_{c|ij})_{ab} = -\frac{1}{16\pi^2\epsilon} \frac{1}{2} (y_{a|ij}^* \delta_{bc} - y_{b|ij}^* \delta_{ac}) + \text{h.c.} + \text{finite}$$

Finite contributions to EM tensor

Anomalous trace Osborn (1989,1991) & Jack, Osborn (1990)

$$[\Theta_\mu{}^\mu(x)] = \beta^I [\mathcal{O}_I] - D_\mu [S_{ab} \phi_a D^\mu \phi_b - R_{ij} \bar{\psi}_i i\bar{\sigma}^\mu \psi_j] - ((\delta + \gamma)f) \cdot \frac{\delta}{\delta f} \mathcal{A}$$

$$f_0 = \mu^{(\frac{1}{2} - \delta)\epsilon} Z^{\frac{1}{2}}(g)f$$

$$g_0^I = \mu^{k_I \epsilon} (g^I + L^I(g))$$

$$\hat{\gamma} = (\frac{1}{2} - \delta)\epsilon - k_I g^I \partial_I Z^{\frac{1}{2}(1)}$$

$$\hat{\beta}^I = -k_I g^I \epsilon - k_I L'^{(1)} + k_J g^J \partial_J L'^{(1)}$$

$$S = -k_I g^I N_I^{(1)}$$

$$R = -k_I g^I M_I^{(1)}$$

Ambiguities in RG functions

Relevant quantities Osborn (1989,1991) & Jack, Osborn (1990)

- Square root of wavefunction renormalization $Z^{\frac{1}{2}}$
 - Freedom $Z^{\frac{1}{2}} \rightarrow \tilde{Z}^{\frac{1}{2}} = OZ^{\frac{1}{2}}$ with $Z = Z^{\frac{1}{2}T}Z^{\frac{1}{2}} \rightarrow Z^{\frac{1}{2}T}O^TOZ^{\frac{1}{2}}$
 - $O^TO = 1$ and $O = 1 + \sum_{i \geq 1} \frac{O^{(i)}}{\epsilon^i}$
 - Extra freedom with $\omega = k_I g^I \partial_I O^{(1)}$

$$Z^{\frac{1}{2}(1)} \rightarrow Z^{\frac{1}{2}(1)} + O^{(1)} \quad L'^{(1)} \rightarrow L'^{(1)} - (gO^{(1)})' \quad N_I^{(1)} \rightarrow N_I^{(1)} - \partial_I O^{(1)}$$

$$\hat{\gamma} \rightarrow \hat{\gamma} - \omega \quad \hat{\beta}^I \rightarrow \hat{\beta}^I - (g\omega)^I \quad S \rightarrow S + \omega$$

- Invariant anomalous trace

$$\begin{aligned} [\Theta_\mu^\mu(x)] &= (\beta^I + (gS)^I)[\mathcal{O}_I] - ((\delta + \gamma + S)f) \cdot \frac{\delta}{\delta f} \mathcal{A} \\ &= B^I[\mathcal{O}_I] - ((\delta + \Gamma)f) \cdot \frac{\delta}{\delta f} \mathcal{A} \end{aligned}$$

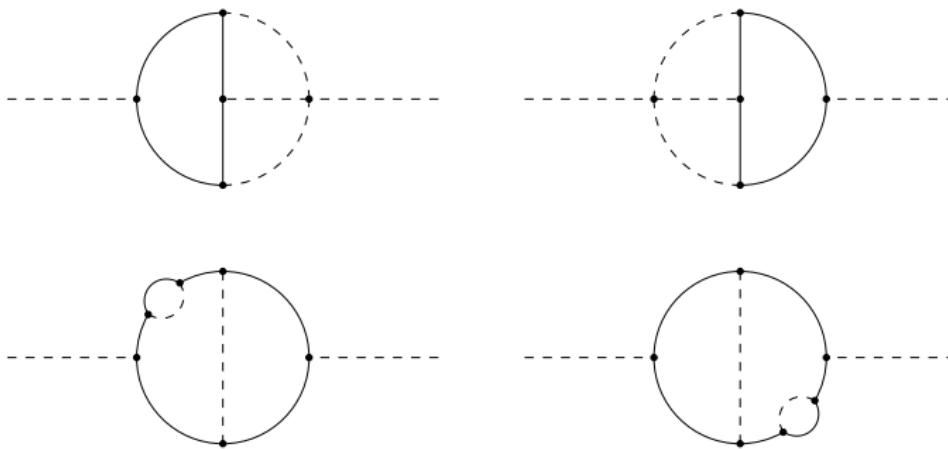
Necessary condition for conformality

- Generalized RG flow
 - $B^I = 0$
 - CFTs \Rightarrow Generalized RG flow fixed points
 - Usual RG flow
 - $\beta^I = -(gS)^I$
 - CFTs \Rightarrow Usual RG flow recurrent behaviors and fixed points

⇒ Are examples of usual RG flow recurrent behaviors CFTs ?

Computation of S

$S^{(\text{one-loop})} = S^{(\text{two-loop})} = 0$ due to symmetry of contributions to N_f



$$(16\pi^2)^3 S_{ab} = \frac{5}{8} \text{tr}(y_a y_c^* y_d y_e^*) \lambda_{bcde} + \frac{3}{8} \text{tr}(y_a y_c^* y_d y_d^* y_b y_c^*) - \{a \leftrightarrow b\} + \text{h.c.}$$

$Q = S \Rightarrow$ Examples are CFTs ! JFF, Grinstein, Stergiou (2012)

Generalized c -theorem

- Weyl consistency conditions and local current conservation
Osborn (1989,1991) & Jack, Osborn (1990)

Osborn (1989,1991) & Jack. Osborn (1990)

$$\frac{\partial c(g)}{\partial g^I} = (G_{IJ} + A_{IJ}) B^J \Rightarrow \frac{dc(g(t))}{dt} = -\mathbf{B}^I G_{IJ} B^J$$

- Curved spacetime \Rightarrow Background metric with spacetime-dependent couplings
 - Spin-one operator of dimension 3 \Rightarrow Background gauge fields with gauge-dependent couplings

\Rightarrow (Weak-coupling) RG flow recurrent behaviors allowed at all loops

- Scale invariance implies conformal invariance JFF, Grinstein, Stergiou (2012) & Luty, Polchinski, Rattazzi (2012)

Features and future work

Features of SFTs and CFTs

- Usual RG flow
 - Recurrent behaviors and fixed points
- Generalized RG flow
 - SFTs \Rightarrow Recurrent behaviors
 - CFTs \Rightarrow Fixed points
- Generalized c -theorem \Rightarrow Only CFTs allowed
 - \Rightarrow Scale invariance implies conformal invariance
 - Unexpected CFTs with expected behaviors

Future work

- Proof at strong coupling

Thank you !

Extra

Current conservation

- Divergence of current $J^\mu(x)$ without use of EOMs [Collins \(1984\)](#)

$$\partial_\mu J^\mu(x) = \Delta_{\text{EOM}} + \Delta_{\text{Classical}} + \Delta_{\text{Anomaly}}$$

- Green function of elementary fields with current $J^\mu(x)$ and Ward identity

✓ $\Delta_{\text{EOM}} \Rightarrow$ Expected contact terms from Ward identity

~~X~~ $\Delta_{\text{Classical}} \Rightarrow$ Usual non-anomalous classical violation

X $\Delta_{\text{Anomaly}} \Rightarrow$ Possible anomalous violation in divergent Green functions

- Example: $\mathcal{L} = -\frac{1}{4}F_{\mu\nu}^A F^{A\mu\nu} + \bar{\psi}_i(i\gamma^\mu D_\mu \delta_{ij} - M_{ij})\psi_j$

- Vector current $J_V^{\mu a}(x) = \bar{\psi} \gamma^\mu t^a \psi$ with $\Delta_{\text{EOM}} \neq 0$,

$\Delta_{\text{Classical}} = i\bar{\psi}[M, t^a]\psi$ and $\Delta_{\text{Anomaly}} = 0$

- Axial current $J_A^{\mu a}(x) = \bar{\psi} \frac{1}{2} [\gamma^\mu, \gamma^5] t^a \psi$ with $\Delta_{\text{EOM}} \neq 0$.

$$\Delta_{\text{Classical}} = i\bar{\psi}\gamma^5\{M, t^a\}\psi \text{ and}$$

$$\Delta_{\text{Anomaly}} = \frac{1}{2} \bar{\psi} \{ \gamma^\mu, \gamma^5 \} t^a D_\mu \psi - \frac{1}{2} D_\mu \bar{\psi} \{ \gamma^\mu, \gamma^5 \} t^a \psi$$